



INSTITUT NATIONAL DE RECHERCHE EN INFORMATIQUE ET EN AUTOMATIQUE

*Project-Team SMIS*

*Secured and Mobile Information Systems*

*Paris - Rocquencourt*

THEME SYM

*Activity*  
*R* *eport*

2007



## Table of contents

<b>1. Team</b> .....	<b>1</b>
<b>2. Overall Objectives</b> .....	<b>1</b>
2.1. Introduction	1
2.2. Highlights	2
<b>3. Scientific Foundations</b> .....	<b>2</b>
3.1. Ubiquitous data management	2
3.2. Data confidentiality	3
<b>4. Application Domains</b> .....	<b>4</b>
<b>5. Software</b> .....	<b>5</b>
5.1. Introduction	5
5.2. PicoDBMS	5
5.3. Chip-Secured XML Access	5
5.4. GhostDB	5
<b>6. New Results</b> .....	<b>6</b>
6.1. Embedded data management	6
6.2. Data confidentiality and privacy	6
6.3. Tamper-resistant data management	7
<b>7. Contracts and Grants with Industry</b> .....	<b>7</b>
7.1.1. Industrial collaborations	7
7.1.2. Secure and Mobile Healthcare folder : DMSP project	8
<b>8. Other Grants and Activities</b> .....	<b>8</b>
8.1. National grants	8
8.2. International and national cooperations	9
<b>9. Dissemination</b> .....	<b>9</b>
9.1. Scientific activity and coordination	9
9.1.1. Collective responsibilities within INRIA	9
9.1.2. Collective responsibilities outside INRIA	9
9.1.3. Invited talks	10
9.2. Teaching activity	10
<b>10. Bibliography</b> .....	<b>10</b>



# 1. Team

*Since August 2007, SMIS has become a joint project-team with the University of Versailles Saint-Quentin en Yvelines and CNRS.*

## **Head of project-team**

Philippe Pucheral [ PR1 - UVSQ (on secondment at INRIA), HdR ]

## **Vice-head of project team**

Luc Bouganim [ DR2 - INRIA, HdR ]

## **Inria research scientist**

Nicolas Ancaux [ CR2 - INRIA ]

## **Visiting professor**

Dennis Shasha [ New York University, Invited Professor, up to June 30th ]

## **Administrative assistant**

Elisabeth Baque [ AI - INRIA ]

## **Ph. D. students**

Mehdi Benzine [ UVSQ, MESR ]

Bhaskar Biswas [ Ecole Polytechnique, CORDI INRIA (joint PhD with CODES project-team) ]

Harold van Heerde [ University of Twente (joint PhD with P. Apers team) ]

Shaoyi Yin [ UVSQ, CORDI ]

## **Project technical staff**

Christophe Salperwyck [ Engineer Polytech'Nantes, up to August 31st ]

Kevin Jacquemin [ ENSIMAG, from September 1st ]

## **Graduate student intern**

Tristan Allard [ Master UVSQ ]

# 2. Overall Objectives

## 2.1. Introduction

**Keywords:** *Database management systems, database security (data confidentiality and privacy), mobile and embedded databases.*

Ubiquitous and pervasive computing introduces the need for embedding and managing data in ever lighter and specialized computing devices (personal digital assistants, cellular phones, sensors and chips for the ambient intelligence, transportation, healthcare, etc). In this context, the first objective of the SMIS project is the definition of core database technologies tackling the hardware constraints of highly specialized computing devices. Alongside, by making the information more accessible and by multiplying the transparent ways of its acquisition, ubiquitous and pervasive computing induce new threats on data confidentiality. More generally, preserving the confidentiality of personal data spread among a large variety of sources (mobiles, smart objects as well as corporate, commercial and public databases) has become a major challenge for the database community. Thus, the second objective pursued by the SMIS project is the definition of access control models preserving data confidentiality and privacy and the definition of tamper-resistant database architectures enforcing this control. These two objectives are detailed below.

*Ubiquitous/pervasive data management:* Important research efforts have to be undertaken to capture the impact of each device's hardware constraints on database techniques and to set up co-design rules helping calibrating the hardware resources of future devices in order to match specific application's requirements. This research direction is interested in storage models, indexing structures and query execution techniques matching strong hardware constraints in terms of RAM, energy and communication bandwidth consumption. Electronic stable storage technologies (EEPROM, Flash, MEMS, etc) have also a considerable impact on the organization of the data at rest. Problems related to the interaction of ultra-light devices with a larger information system deserve also a particular attention (e.g., querying data disseminated among a large population of ultra-light devices, defining and managing ambient databases, exploiting external computing and storage resources).

*Data confidentiality and privacy:* The increasing amount of sensitive data gathered in databases, and in particular of personal data, imposes the definition of fine-grain access control models. While access control in client-server relational database is roughly mature, new issues appear today: fine-grain access control over hierarchical and semi-structured data (e.g., XML), integration of privacy concern in the access control policies (e.g., user's consent, usage control), access control administration over multiple distributed, heterogeneous and autonomous resources. A complementary issue we are interested in is the security (i.e., tamper-resistance) of the access control itself. Cryptographic techniques can be exploited to this end. While encryption is used successfully for years to secure communications, database encryption introduces difficult theoretical and practical problems: how to execute efficiently queries over encrypted data, how to conciliate declarative (i.e., predicate based) and dynamic access rights with encryption, how to distribute encryption keys between users sharing part of the database? We aim at providing accurate answers to these questions thanks to security models based on tamper-resistant hardware to query, update and share encrypted databases.

The complementarity of these two research issues is twofold. First, ubiquitous/pervasive data management generates specific confidentiality problems that must be tackled accurately. Hence, this first area of research is expected to feed the second one with relevant motivating examples. Second, data management techniques embedded in secured devices (e.g., smart cards, secured tokens) can be the foundation for new security models. For example, remote databases can be made secure by delegating part of the data management to a secured device. Thus, a strong cross-fertilization can be expected between these two research areas.

Beyond the scientific objectives detailed above, which are expected to generate publications in top level database and security conferences and journals, our ambition is to develop high quality prototypes that will serve two purposes: (1) validate our results on real hardware/software platforms and (2) integrate our results on real applications where data confidentiality is a primary concern (e.g., Electronic Health Record systems).

## 2.2. Highlights

- In 2007, SMIS launches an important project aiming at developing a highly secured and mobile healthcare folder and experimenting it over a small population of volunteer patients and practitioners (see Section 7.1). This project is supported jointly by the Yvelines District Council and the ANR (the French National Research Agency).
- SMIS has become a joint project-team with the University of Versailles Saint-Quentin en Yvelines and CNRS in August 2007.
- François Dang Ngoc received the Accessit of the PhD Thesis Award'2007 delivered by ASTI (Fédération des Associations Françaises des Sciences et Techniques de l'Information) for his thesis entitled "Sécurisation du contrôle d'accès pour des documents XML" [21].

## 3. Scientific Foundations

### 3.1. Ubiquitous data management

**Keywords:** *embedded databases, query processing, secured computing platforms, storage and indexing models, transaction management.*

The vision of the future dataspace, a physical space enhanced with digital information made available through large-scale networks of smart objects is paint in [37]. The management of data in such dataspace differs dramatically from the mainframe database setting. In this context, the data sources are moving, managed by highly constrained computing devices, might get temporarily or permanently disconnected and have at best a partial knowledge about their environment.

This setting strongly impacts the way data is managed locally. Actually, not only data but also data management techniques (e.g., querying, access control, transaction) must usually be embedded in highly constrained hardware devices. For example, sensor networks collecting weather or pollution data [30] are evolving towards real distributed databases in which each sensor acts as an active node (i.e., as a micro-data server queryable remotely) [38]. Protecting the confidentiality of portable folders (e.g., healthcare folders, users' profiles) is another motivation to embed data management techniques into tamper-resistant devices (e.g., smart cards) [9]. Embedded database techniques are also required in every context where computations have to be performed in a disconnected mode. To conceive embedded database components is however not obvious. Each target architecture is specifically designed to meet desirable properties (portability, energy consumption, tamper resistance, production cost, etc), under imposed hardware constraints (maximum silicon die size, memory technology, etc), to tackle specific application's requirements. The challenge is then twofold: (i) being able to design dedicated embedded database components and (ii) being able to set up co-design rules helping hardware manufacturers calibrating their future platforms to match the requirements of data driven applications. While a large body of work has been conducted on data management techniques for high-end servers (storage, indexing and query optimization models minimizing the I/O bottleneck, parallel DBMS, main memory DBMS, replication and fault tolerance, etc), few research effort has been placed so far on embedded database techniques. Light versions of popular DBMS have been designed for powerful handheld devices but DBMS vendors never addressed the more complex problem of embedding database components into chips. Recent works have been conducted on smart card databases and on data management techniques for sensor networks but this research field is still at a preliminary stage.

The dataspace setting also impacts the way queries are expressed (spatio-temporal conditions, continuous queries) and executed (decentralized control, scarce local computing resources, uncertain availability of the data sources). Distributed query management has been extensively studied for thirty years [40], considering a reduced collection of data sources managed by high-end servers. These methods are irrelevant in a context involving potentially millions of data sources managed by lightweight devices. Query management in Peer-to-Peer systems and in Data Grids address the scalability issue and the unpredictable availability of data sources but do not consider lightweight devices. The first works to consider distributed queries (restricted to filters and aggregations) over lightweight devices have been conducted in the sensor network field. Hence, regular queries distributed over a large collection of full-fledged databases managed by lightweight devices remains an open issue.

## 3.2. Data confidentiality

**Keywords:** *access control models, data confidentiality and privacy, encrypted databases, secure operating environments.*

Confidentiality, Integrity and Availability are the three fundamental properties ruling the security of any information system. Data confidentiality has recently become a major concern for individuals as well as for companies and governments. Several kinds of data are threatened: personal data gathered by visited Web sites or by smart objects used in our daily life, corporate or administrative data stored in piracy-prone servers or hosted by untrusted Database Service Providers. The CSI/FBI reports that database attacks constitute the first source of cyber-criminology and that more than fifty percents of the attacks are conducted by insiders [33]. In this context, governments are setting up more constraining legislations. The problem is then to translate law statements into technological means: authentication mechanisms, data and communication encryption protocols, access control models, intrusion detection systems, data and operation anonymization principles, privacy preserving data mining algorithms, etc. The area of investigation is extremely large. Our own research program focuses on data access, usage and retention control and on the way this control can be made secure (i.e., tamper-resistant).

Access control management has been deeply studied for decades. Different models have been proposed to declare and administer access control policies, like DAC, MAC, RBAC, TMAC, OrBAC [34]. While access control management in relational databases is now well established and normalized, new access control models have to be defined to cope with more complex data (e.g., hierarchical and semi-structured data like XML) and new forms of data distribution (e.g., selective data dissemination). Privacy models are also emerging today [25]. Privacy distinguishes from confidentiality in the sense that the data to be protected is personal. Hence, the user's consent must be reflected in the access control policies and not only the access but also the usage of the data as well as its retention period are safeguarded by law and must be controlled carefully.

Securing the access control against different forms of tampering is a very important issue. Server-enforced access control is widely accepted [29] but remains inoperative against insider attacks. Several attempts have been made to strengthen server-based security with database encryption [39] [36]. However, the Database Administrator (or an intruder usurping her identity) has enough privilege to tamper the encryption mechanism and get the clear-text data. Client-based security approaches have been recently investigated. Encryption and decryption occur at the client side to prevent any disclosure of clear-text data at the server. Storage Service Providers proposing encrypted backups for personal data are crude representative of this approach. The management of SQL queries over encrypted data complements well this approach [35]. Client-based decryption is also used in the field of selective data dissemination (e.g., Digital Right Management). However, the sharing scenarios among users are generally coarse grain and static (i.e., pre-compiled at encryption time). Tamper-resistant hardware can help devising secured database architectures alleviating this problem. Finally, securing the usage of authorized data is becoming as important as securing the access control as far as privacy preservation is concerned. Thus, database encryption, tamper-resistant hardware and their relationships with access control and usage control constitute a tremendous field of investigation.

## 4. Application Domains

### 4.1. Application Domains

**Keywords:** *ambient intelligence, healthcare, secure data dissemination, web-hosting databases.*

Our work on ubiquitous data management addresses varied application domains. Typically, data management techniques on chip are required each time data-driven applications have to be embedded in ultra-light computing devices. This situation occurs for example in healthcare applications where medical folders are embedded into smart tokens (e.g., smart cards, secured USB keys), in telephony applications where personal data (address book, agenda, etc.) is embedded into cellular phones, in sensor networks where sensors log raw measurements and perform local computation on them, in smart-home applications where a collection of smart appliances gather information about the occupants to provide them a personalized service, and more generally in most applications related to ambient intelligence.

Safeguarding data confidentiality has become a primary concern for citizens, administrations and companies, broadening the application domains of our work on access control policies definition and enforcement. The threat on data confidentiality is manifold: external and internal attacks on the data at rest and the data on transit, data hosted in untrusted environments (e.g., Database Service Providers, Web-hosting companies) and subject to illegal usage, insidious gathering of personal data in an ambient intelligence surrounding. Hence, new access control models and security mechanisms are required to accurately declare and safely control who is granted access to which data and for which purpose.

While the application domain mentioned above is rather large, one application is today more specifically targeted by the SMIS project. This application deals with privacy preservation in EHR (Electronic Health Record) systems. Several countries (including France) launched recently ambitious EHR programs where medical folders will be centralized and potentially hosted by private Database Service Providers. Centralization and hosting increase the risk of privacy violation. Hence, fine-grain access control models and robust database security mechanisms are highly required. Portable folder on secured mass storage chips can also help reducing the risk. In 2007, we launched two projects tackling precisely this issue (cf. Section 7.1).



## 5. Software

### 5.1. Introduction

In our domain of expertise, developing software prototypes is mandatory to validate research solutions and is an important vector for research publications, demonstrations at conferences and exhibitions as well as for cooperation with industry. The Gold Award we received at the SIMagine'2005 international software contest illustrates well this strategy (see Section 5.3). This prototyping task is however difficult because it requires specialized hardware platforms (e.g., smart cards), themselves sometimes at an early stage of development (see Section 7.1.1).

The following subsections present a succession of prototypes we developed on specialized hardware. These prototypes consider different application domains, address different challenges, and exploit different technical solutions, generally linked to hardware characteristics, but all capitalize on our growing experience in this field since year 2000.

### 5.2. PicoDBMS

**Participants:** Nicolas Anciaux [correspondent], Luc Bouganim, Philippe Pucheral.

PicoDBMS is a smart card full-fledged DBMS aiming at managing shared secured portable folders. A first prototype written in JavaCard has been demonstrated at the VLDB'01 conference [27]. It showed the feasibility of the approach but exhibited disastrous performance. Since then, a second prototype has been written in C and optimized partly with the help of Axalto (their smart card OS has been modified to better support data intensive on-board applications). This prototype is now running on an experimental smart card platform and exhibits two order of magnitude better performances than its JavaCard counterpart. A cycle-accurate hardware simulator allowed us to predict the PicoDBMS performance on future smart card platforms. Extensive experimentations have been conducted recently on this prototype thanks to a dedicated PicoDatabase Benchmark [26], [12]. The PicoDBMS prototype has been a major vehicle to validate our results, to develop important skills in terms of design rules for embedded database components and to set up a long term industrial cooperation with Axalto. Link: [http://www-smis.inria.fr/Eprototype\\_PicoDBMS.html](http://www-smis.inria.fr/Eprototype_PicoDBMS.html).

### 5.3. Chip-Secured XML Access

**Participants:** Kevin Jacquemin [correspondent], Luc Bouganim, Philippe Pucheral, Christophe Salperwyck.

Chip-Secured XML Access (C-SXA) is an XML-based access rights controller embedded in a smart card. C-SXA evaluates user's privileges on a queried or streaming XML encrypted document and delivers the authorized subset of this document. Compared to existing methods, C-SXA supports fine grain and dynamic access control policies by separating access control issues from encryption. Application domains cover the exchange of confidential data among a community of users (e.g., collaborative work) as well as selective data dissemination. A first C-SXA prototype has been developed on a hardware cycle-accurate simulator to assess the medium-term viability of the approach in terms of performance [7]. Then, a C-SXA engine has been developed in JavaCard on a real smart card platform and has been demonstrated at the SIGMOD'05 conference [31]. An application scenario dealing with selective disseminations of multimedia content has been developed on top of this engine (MobiDiQ) and has been rewarded by the Gold award of the SIMagine'2005 international software contest. Link: [http://www-smis.inria.fr/Eprototype\\_C-SXA.html](http://www-smis.inria.fr/Eprototype_C-SXA.html).

### 5.4. GhostDB

**Participants:** Mehdi Benzine [correspondent], Nicolas Anciaux, Luc Bouganim, Philippe Pucheral, Christophe Salperwyck, Dennis Shasha.

GhostDB is a relational database engine embedded on a secure USB key (a large Flash persistent store combined with a tamper and snoop-resistant CPU and small RAM) that allows linking private data carried on the USB Key and public data available on a public server [15]. GhostDB ensures that the only information revealed to a potential spy is the query issued and the public data accessed (See Section 6.3). Queries linking public and private data entail novel distributed processing techniques on extremely unequal devices and in which data flows in a single direction: from public to private. The GhostDB prototype has been developed in C and currently runs on a software simulator of the USB device. This simulator is I/O accurate, meaning that it delivers the exact number of pages read and written in Flash, thus allowing assessing the GhostDB performance. The GhostDB prototype has been recently demonstrated at the VLDB2007 conference [20].

## 6. New Results

### 6.1. Embedded data management

**Keywords:** *benchmarks, co-design, query processing, storage and indexing models for embedded databases.*

**Participants:** Philippe Pucheral, Shaoyi Yin.

Our new research in this field focuses on the impact of different stable storage technologies (EEPROM, Flash, FeRAM, MEMS) on traditional database techniques. Electronic stable memories exhibit very specific read and write characteristics impacting database storage, indexing, querying and transaction management.

We are currently specifying a storage and indexing model dedicated to NAND-Flash. NAND Flash has become the most popular persistent data storage medium for a wide spectrum of mobile and embedded devices and is even being considered as a credible competitor for traditional disks. The hardware characteristics of NAND Flash (page granularity for read/write with a block-erase-before-write constraint, costly writes, limited number of erase cycles) preclude in-place updates. Flash-aware indexing methods have been proposed to overcome these constraints mainly by deferring index updates thanks to a log and batching them, without reconsidering the index structure itself. These methods introduce a complex trade-off between read and write performance and do not address specifically the RAM consumption and the indirect costs incurred by out-of-place updates (i.e., address translation, garbage collection), two important issues for most Flash-based platforms. We propose a new alternative for indexing Flash-resident data, designed to exploit natively the peculiarities of NAND Flash memory. This approach, called PBFILTER, organizes the index structure in a pure sequential way to save indirect costs incurred by out-of-place updates. Key lookups are sped up thanks to two principles called Summarization and Partitioning. We instantiate these principles by concrete data structures and algorithms based on Bloom Filters. We think that PBFILTER approach could be instantiated with different Summarization and Partitioning algorithms opening up interesting research directions in the indexing of Flash-resident data. PBFILTER has been patented by Axalto and INRIA [22].

### 6.2. Data confidentiality and privacy

**Keywords:** *access control models, data confidentiality and privacy, data retention.*

**Participants:** Nicolas Ancaux, Luc Bouganim, Harold J. W. van Heerde, Philippe Pucheral.

People give personal data either explicitly (e.g., to insurance companies, hospitals or banks), or implicitly (through cell phones, web search engines or simply by passing nearby RFID readers). This personal data ends up in a database somewhere, where it can be queried. Whatever the trust put on the organization hosting the data, no definite guarantee can be obtained against unintended disclosure of personal records because: (i) any security measures make attacks more difficult to conduct without totally preventing them (even the most defended servers, including those of Pentagon and FBI, have been successfully attacked), and (ii) business practices (e.g., company merging), government pressures and changes in laws (e.g., to fight against terrorism) may lead to privacy policy violations. Existing approaches to answer unintended disclosure rely on data anonymization when it complies with the acquisition purpose or on attaching a retention limit to the data storage. Unfortunately, data anonymization techniques introduce a tricky balance between application reach and privacy and data retention techniques offer an all-or-nothing behaviour leading to overstate the retention limit attached to the data.

To tackle this issue, we propose a degradation model where sensitive data undergoes a progressive and irreversible degradation from an accurate state at collection time, to intermediate but still informative fuzzy states, up to complete disappearance when the data becomes useless. Our approach is based on the assumption that long lasting application's purposes can often be satisfied with a less accurate, and therefore less sensitive, version of the data (assumption valid in many practical cases). The benefits of data degradation is threefold: (i) by reducing the amount of online accurate data, the privacy offence resulting from an attack is drastically reduced; (ii) by degrading the data repeatedly, attacks are forced to be repeated as well and become more easily detectable and (iii) degrading the data in line with the application purposes, rather than deleting it, offers a new compromise between privacy preservation and application reach. Such a data degradation model strongly impacts database storage and indexing structures, logging and locking mechanisms, opening up several research perspectives. A preliminary description of the model is given in the context of the ambient intelligence in [28], [32], and the problem in a more general setting is studied in [18].

### 6.3. Tamper-resistant data management

**Keywords:** *access control models, data confidentiality, query processing, secure computing platforms.*

**Participants:** Nicolas Ancaux, Mehdi Benzine, Luc Bouganim, Philippe Pucheral, Dennis Shasha.

Our most recent study on tamper-resistant data management focuses on the management of database mixing public and sensitive data. People talk about privacy, but give it up very easily, especially when faced with complex security procedures that offer only conditional guarantees. This implies that for people's sensitive data to be protected, the cost to protect it must require little physical effort and must perform well. We proposed a system whereby people carry hidden sensitive data on a tamper-resistant USB key and they plug that key into a personal computer when they need to link their hidden data with visible public data, all with the assurance that no hidden data will ever go out in the open. The principal novelties follow directly from the challenges of implementing this mode of operation: (1) how to declare which data should be visible and hidden simply and how to query it, (2) how to index the data, and (3) which query processing strategies to use to link public and private data hosted on extremely unequal devices (standard computer and smart USB key). Our philosophy is to make the user's life as easy as possible while efficiently supporting SQL queries on arbitrarily large databases. Efficiency considerations on the small RAM Secure USB key lead us to the design of generalized join indexes, Bloom filters for approximate filtering, the postponement of selections until after joins in certain cases, and algorithms that reflect the differences in read/write performance in the Secure USB key. This study has led to a first publication in SIGMOD 2007 [15], an extension tackling the case of aggregate computations appeared in BDA 2007 [16]. Finally, a prototype has been built (see Section 5.4) and demonstrated at VLDB 2007 [20].

## 7. Contracts and Grants with Industry

### 7.1. National grants

#### 7.1.1. Industrial collaborations

The SMIS project has a long lasting cooperation with Axalto, recently merged with Gemplus to form Gemalto, the world's leading providers of microprocessor cards. Gemalto provides SMIS with advanced hardware and software smart card platforms which are essential to validate numbers of our research results. In return, SMIS provides Gemalto with application examples for their future smart card platforms as well as technical feedbacks that help them adapting their platforms towards data intensive applications.

SMIS has also a regular cooperation with Santeos, an Atos Origin company developing software platforms of on-line medical services. Santeos is one of the six consortia selected by the French Ministry of Health to host the future DMP (the national Personal Medical Folder initiative) during its prefiguration phase. This cooperation helps us tackling one of our targeted applications, namely the protection of medical folders.

### **7.1.2. Secure and Mobile Healthcare folder : DMSP project**

Category: project funded by the Yvelines District Council (CG78)

Duration: December 2006 – December 2009

Partners: INRIA-SMIS (coordinator), Univ. Versailles-PRiSM, Santeos (Atos Origin)

Description: Electronic Health Record (EHR) projects have been launched in most developed countries to increase the quality of care while decreasing its cost. Despite the unquestionable benefits provided by EHR systems in terms of information quality, availability and protection against failures, patients are reluctant to leave the control over highly sensitive data (e.g., data revealing a severe or shameful disease) to a distant server. This project capitalizes on a new hardware portable device, called SPT, associating the security of a smart card to the storage capacity of a USB key, to give the control back to the patient over his medical data. The objective is to complement a traditional EHR server with data management techniques embedded in SPT (1) to protect and share highly sensitive data among trusted parties and (2) to provide a seamless access to the data even in disconnected mode. The proposed architecture will be experimented in the context of a medico-social network providing medical care and social services at home for elderly people. The experiment will be conducted with a population of about 100 volunteer patients and 25 practitioners in the Yvelines district.

## **8. Other Grants and Activities**

### **8.1. National grants**

#### **8.1.1. PlugDB RNTL project**

Category: ANR-RNTL project

Duration: February 2007 - February 2010

Partners: INRIA-SMIS (coordinator), Univ. Versailles-PRiSM, Gemalto, Santeos (Atos Origin), ALDS

Description: The goal of the PlugDB project is to design and experiment new technologies dedicated to a secured and ubiquitous management of personal data. Existing solutions for sharing and manipulating personal data (medical, social, administrative, commercial, professional data, etc.) are usually server-based. These solutions suffer from two weaknesses. The first one lies in the impossibility to access the data without a permanent, reliable, secured and high bandwidth connection. Meeting these conditions altogether in every environment is difficult. The second weakness is the lack of security warranties as soon as the data leaves the security realm of the server. The PlugDB project addresses these limitations with the help of a new secured device named SPT (Secure Portable Token). A SPT combines the intrinsic security of smart cards with the storage capacity of USB keys (several GB in a short term) and the universality of the USB protocol (supported by any equipment having a USB port: workstation, laptop, PDA, cell phone, etc.). The project innovation lies in the association of sophisticated data management techniques with cryptographic protocols embedded in a SPT-like device. More precisely, a specific DBMS engine must be designed to match the peculiarities of the SPT storage memory (NAND Flash) and the limited processing capacities of its microcontroller. New cryptographic protocols dedicated to the protection of the data at rest as well as to the data in transit in collaborative scenarios must also be designed. The DMSP project will serve as a testbed for the PlugDB technology.

## 8.2. International and national cooperations

The SMIS members have developed international cooperations with the following persons/teams:

- Dennis Shasha (Professor at the University of New-York, USA): collaboration on tamper-resistant data management issues (see details in Section 6.3). Dennis Shasha has done a one year sabbatical stay in SMIS (July 2006 to June 2007).
- Xiaofeng Meng (Professor at Renmin University, Beijing, China): collaboration on embedded data management issues (see details in Section 6.1). This work is partly funded by a Franco-Chinese research program (PRA SI-05604).
- P.G.M. Apers (Professor at the University of Twente, The Netherlands): collaboration on data confidentiality issues (see details in Section 6.2). H.J.W. van Heerde, member of P. Apers' team, is doing a PhD in co-supervised by P. Apers and N. Ancaiaux.

## 9. Dissemination

### 9.1. Scientific activity and coordination

#### 9.1.1. Collective responsibilities within INRIA

Philippe Pucheral is member of the Bureau du Comité des Projets (Project Committee) of INRIA Rocquencourt since September 2004. He is in charge of the Mission Formation par la Recherche (Training through Research) at Rocquencourt: relationships with the Parisian universities, funding of summer schools, etc.

Luc Bouganim is member of the Commission Délégations-Détachements of INRIA Rocquencourt since November 2004. He is the INRIA representative for the summer schools in computer science co-organized by INRIA, CEA and EDF. He is also co-responsible for the organization of the monthly scientific seminars ("Le modèle et l'Algorithme") at INRIA Rocquencourt.

Nicolas Ancaiaux serves as a mediator at Rocquencourt to help solving difficulties which may occur between PhD students and their supervisors.

#### 9.1.2. Collective responsibilities outside INRIA

In 2007, the SMIS members have conducted, or participated to, the following actions in the research community:

- Philippe Pucheral
  - Area Editor of the Information Systems international journal.
  - Member of the Scientific Board of the SeSur program (Sécurité et Sûreté Informatique) launched by the French National Research Agency (ANR).
  - Scientific evaluation for the Netherlands Organisation for Scientific Research (NWO).
  - PC member of DEXA'07, IDAR'07.
  - Member of the BDA Board (Bases de Données Avancées).
  - Member of the Laboratory council of the PRiSM Lab (Univ. Versailles CNRS).
  - Member of the commission de spécialistes 27th section (recruiting committee) of the University of Cergy and Ecole Normale Supérieure (ENS Cachan antenne de Bretagne).
  - Referee for the HDR (Habilitation à Diriger des Recherches) of P. Molli (Univ. Nancy 1) and of the PhD thesis of V. Martins (Univ. Nantes) and jury member of the PhD thesis of A. Arion (Univ. Paris 11).
- Luc Bouganim

- PC member of ASIACCS'07, DASFAA'07, GEDSIP'07, DBMAN'07.
- Member of the Editorial Board of TSI Journal (Technique et Science Informatiques)
- Referee for the PhD thesis of Reza Akbarinia (Univ. Nantes), Jean Michel Busca (Univ. Paris 6), Bogdan Cautis (Univ. Paris Sud) and Thierry Sans (ENST Bretagne).
- Nicolas Anciaux
  - PC member of DMUC'07.
  - Member of the Editorial Board of TSI Journal (Technique et Science Informatiques).

### 9.1.3. Invited talks

- Philippe Pucheral
  - INRIA-Industry Days: "Protection matérielle de bases de données".
  - CNRS colloquium 'La sécurité de l'individu numérisé': "Sécurité des bases de données: dossiers médicaux personnels".
- Dennis Shasha
  - Humboldt University (Berlin), American University of Paris, French Ministry of Research: "The Nature of Invention in Computer Science: a collaborative reflection based on the book Out of their Minds".
  - Max Planck Institut für Informatik (Saarbrücken), American University of Paris: "Upstart Puzzles".
  - University of Paris VI: "StrangerDB: database management with an untrusted server".
  - EPFL Lausanne, University of Montpellier: "Biocomputational Puzzles".

## 9.2. Teaching activity

SMIS is a joint project-team with the University of Versailles Saint-Quentin en Yvelines (UVSQ) and CNRS. Philippe Pucheral is professor at UVSQ, on secondment at INRIA. The list of the main courses given by each staff member in 2007 is given below:

- P. Pucheral: co-director of the research Master COSY (UVSQ), courses on DBMS architecture and database security (45h/y).
- L. Bouganim: DBMS architecture, data security, database technology (90h/y, given at UVSQ, ENST Paris, CNAM Paris, ENSTA Paris).
- N. Anciaux: DBMS internal mechanisms, database Technology (96h/y, given at UVSQ and ENSTA).
- M. Benzine: Java, formal specifications, database concepts (64h/y, given at UVSQ).
- C. Salperwyck: database technology (16h/y, given at UVSQ).

## 10. Bibliography

### Major publications by the team in recent years

- [1] M. ABDALLAH, R. GUERRAOUI, P. PUCHERAL. *Dictatorial Transaction Processing : Atomic Commitment without Veto Right*, in "Distributed and Parallel Database Journal (DAPD)", vol. 11, n<sup>o</sup> 3, 2002.
- [2] N. ANCIAUX, L. BOUGANIM, P. PUCHERAL. *Memory Requirements for Query Execution in Highly Constrained Devices*, in "Proc. of the 29th Int. Conf. on Very Large Data Bases (VLDB)", 2003.

- [3] L. BOUGANIM, F. FABRET, C. MOHAN, P. VALDURIEZ. *A Dynamic Query Processing Architecture for Data Integration Systems*, in "IEEE Data Engineering Bulletin", vol. 23, n<sup>o</sup> 2, 2000.
- [4] L. BOUGANIM, F. FABRET, F. PORTO, P. VALDURIEZ. *Processing Queries with Expensive Functions and Large Objects in Distributed Mediator Systems*, in "Proc. of the 17th Int. Conf. on Data Engineering (ICDE)", 2001.
- [5] L. BOUGANIM, F. FABRET, P. VALDURIEZ, C. MOHAN. *Dynamic Query Scheduling in Data Integration Systems*, in "Proc. of the 16th Int. Conf. on Data Engineering (ICDE)", 2000.
- [6] L. BOUGANIM, P. PUCHERAL. *Chip-Secured Data Access : Confidential Data on Untrusted Servers*, in "Proc. of the 28th Int. Conf. on Very Large Data Bases (VLDB)", 2002.
- [7] L. BOUGANIM, F. DANG NGOC, P. PUCHERAL. *Client-Based Access Control Management for XML Documents*, in "Proc. of the 30th Int. Conf. on Very Large Databases (VLDB)", 2004.
- [8] B. FINANCE, S. MEDJDOUB, P. PUCHERAL. *The Case for Access Control on XML Relationships*, in "Proc. of the ACM Int. Conf. on Information and Knowledge Management (CIKM)", 2005.
- [9] P. PUCHERAL, L. BOUGANIM, P. VALDURIEZ, C. BOBINEAU. *PicoDBMS : Scaling down Database Techniques for the Smartcard*, in "Very Large Data Bases Journal (VLDBJ), Best Paper Award VLDB'2000", vol. 10, n<sup>o</sup> 2-3, 2001.
- [10] P. PUCHERAL, ET AL. *Mobile Databases : a Selection of Open Issues and Research Directions*, in "ACM Sigmod Record", collective report written under the supervision of P. Pucheral, vol. 33, n<sup>o</sup> 2, 2004.

## Year Publications

### Articles in refereed journals and book chapters

- [11] N. ANCIAUX, L. BOUGANIM, P. PUCHERAL. *Future Trends in Secure Chip Data Management*, in "IEEE Data Engineering Bulletin", vol. 30, n<sup>o</sup> 3, 2007, <http://www-smis.inria.fr/dataFiles/ABP07.pdf>.
- [12] N. ANCIAUX, L. BOUGANIM, P. PUCHERAL. *SGBD Embarqué dans une Puce : retour d'expérience*, in "Revue Technique et Science Informatiques (TSI)", To appear, 2008, <http://www-smis.inria.fr/dataFiles/ABP06a.pdf>.
- [13] L. BOUGANIM, F. DANG NGOC, P. PUCHERAL. *Dynamic Access-Control Policies on XML Encrypted Data*, in "ACM Transactions on Information and System Security (ACM TISSEC)", vol. 10, n<sup>o</sup> 4, 2007.
- [14] L. BOUGANIM, P. PUCHERAL. *Fairness concerns in digital right management models*, in "International Journal of Internet and Enterprise Management (IJIEM)", vol. 5, n<sup>o</sup> 1, 2007, <http://www-smis.inria.fr/dataFiles/BDP07a.pdf>.

### Publications in Conferences and Workshops

- [15] N. ANCIAUX, M. BENZINE, L. BOUGANIM, P. PUCHERAL, D. SHASHA. *GhostDB: querying visible and hidden data without leaks*, in "26th International Conference on Management of Data (SIGMOD)", June 2007, <http://www-smis.inria.fr/dataFiles/ABBPS07a.pdf>.

- [16] N. ANCIAUX, M. BENZINE, L. BOUGANIM, P. PUCHERAL, D. SHASHA. *Querying and Aggregating Visible and Hidden Data Without Leaks*, in "23èmes journées Bases de Données Avancées, BDA'07", October 2007.
- [17] N. ANCIAUX, M. BERTHELOT, M. DE LA BLACHE, L. BOUGANIM, L. BRACONNIER, G. GARDARIN, P. KESMARSZKY, S. LARTIGUE, J.F. NAVARRE, P. PUCHERAL, J.J. VANDEWALLE. *Dossiers Personnels Ubiquitaires et Sécurisés*, Colloque 'La sécurité de l'individu numérisé', 2007.
- [18] N. ANCIAUX, L. BOUGANIM, H.J.W. VAN HEERDE, P. PUCHERAL, P.M.G. APERS. *'InstantDB: Enforcing Timely Degradation of Sensitive Data*, in "24th International Conference on Data Engineering, ICDE 2008", To appear, April 2008.
- [19] P. PUCHERAL. *Database Security*, in "Tutorial, 23èmes journées Bases de Données Avancées, BDA'07", October 2007.
- [20] C. SALPERWYCK, N. ANCIAUX, M. BENZINE, L. BOUGANIM, P. PUCHERAL, D. SHASHA. *GhostDB: Hiding Data from Prying Eyes*, in "33th International Conference on Very Large Data Bases, (VLDB)", Demo session, September 2007, <http://www-smis.inria.fr/dataFiles/SABBPS07a.pdf>.

### Miscellaneous

- [21] F. DANG NGOC. *Client-Based Access Control for XML documents*, Accessit of the PhD Thesis Award'2007 delivered by ASTI (Fédération des Associations Françaises des Sciences et Techniques de l'Information), November 2007.
- [22] P. PUCHERAL, S. YIN. *System and Method of Managing Indexation of Flash Memory*, Dépôt par Gemalto et INRIA du brevet européen n° 07290567.2, May 2007.
- [23] P. PUCHERAL, ET AL. *Architecture fonctionnelle de PlugDB*, PlugDB Deliverable, November 2007.
- [24] P. PUCHERAL, ET AL. *Dossier Médico-Social Partagé Nomade et Sécurisé (DMSP) : Analyse des besoins et Architecture fonctionnelle*, DMSP Deliverable, Novemer 2007.

### References in notes

- [25] R. AGRAWAL, J. KIERNAN, R. SRIKANT, Y. XU. *Hippocratic Databases*, in "Proc. of the Int. Conf. on Very Large Data Bases (VLDB)", 2002.
- [26] N. ANCIAUX. *Systèmes de Gestion de Bases de Données Embarqués dans une Puce Electronique*, Ph. D. Thesis, University of Versailles, France, 2004.
- [27] N. ANCIAUX, C. BOBINEAU, L. BOUGANIM, P. PUCHERAL, P. VALDURIEZ. *PicoDBMS : Validation and Experience*, in "Proc. of the Int. Conf. on Very Large Data Bases (VLDB)", 2001.
- [28] N. ANCIAUX, L. BOUGANIM, P. PUCHERAL. *Smart Card DBMS: where are we now?*, INRIA Technical Report 80840, 2006, <http://hal.inria.fr/inria-00080840>.
- [29] A. BARAANI, J. PIEPRZYK, R. SAFAVI-NAINI. *Security In Databases: A Survey Study*, 1996, <http://citeseer.ist.psu.edu/baraani-dastjerdi96security.html>.



- 
- [30] P. BONNET, J. GEHRKE, P. P. SESHADRI. *Towards Sensor Database Systems*, in "Proc. of Int. Conf. on Mobile Data Management", 2001.
- [31] L. BOUGANIM, C. CREMARENCO, F. DANG NGOC, N. DIEU, P. PUCHERAL. *Safe Data Sharing and Data Dissemination on Smart Devices*, in "Proc. of the ACM Sigmod Int. Conf. on Management of Data", 2005.
- [32] L. BOUGANIM, ET AL. *Security of Information Systems*, Contribution to the Strategic Plan, 2006.
- [33] COMPUTER SECURITY INSTITUTE. *CSI/FBI Computer Crime and Security Survey*, 2004, <http://www.crime-research.org/news/11.06.2004/423/>.
- [34] F. CUPPENS. *Modélisation Formelle de la Sécurité des Systèmes d'Informations*, Habilitation à Diriger des Recherches, Université Paul Sabatier, 2000.
- [35] H. HACIGUMUS, B. IYER, C. LI, S. MEHROTRA. *Executing SQL over Encrypted Data in the Database-Service-Provider Model*, in "Proc. of the ACM SIGMOD Int. Conf. on Management of Data", 2002.
- [36] J. HE, M. WANG. *Cryptography and Relational Database Management Systems*, in "Proc. of the Int. Database Engineering and Application Symposium (IDEAS)", 2001.
- [37] T. IMIELINSKI, B. NATH. *Wireless Graffiti – Data, data everywhere*, in "Proc. of the Int. Conf. on Very Large Data Bases (VLDB)", 2002.
- [38] S. MADDEN, M. FRANKLIN, J. HELLERSTEIN, W. HONG. *The design of an Acquisitional Query Processor for Sensor Networks*, in "Proc. of the ACM Sigmod Int. Conf. on Management of Data", 2003.
- [39] ORACLE CORPORATION. *Advanced Security Administrator Guide*, in "Release 10.1", 2003.
- [40] T. ÖZSU, P. VALDURIEZ. *Principles of Distributed Database Systems*, Second Edition, Prentice Hall, 1999.