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FIELD

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# Section Application Domains

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## ANTIQUÉ Project-Team

# 4. Application Domains

## 4.1. Verification of safety critical embedded software

The verification of safety critical embedded software is a very important application domain for our group. First, this field requires a high confidence in software, as a bug may cause disastrous events. Thus, it offers an obvious opportunity for a strong impact. Second, such software usually have better specifications and a better design than many other families of software, hence are an easier target for developing new static analysis techniques (which can later be extended for more general, harder to cope with families of programs). This includes avionics, automotive and other transportation systems, medical systems...

For instance, the verification of avionics systems represent a very high percentage of the cost of an airplane (about 30 % of the overall airplane design cost). The state of the art development processes mainly resort to testing in order to improve the quality of software. Depending on the level of criticality of a software (at highest levels, any software failure would endanger the flight) a set of software requirements are checked with test suites. This approach is both costly (due to the sheer amount of testing that needs to be performed) and unsound (as errors may go unnoticed, if they do not arise on the test suite).

By contrast, static analysis can ensure higher software quality at a lower cost. Indeed, a static analyzer will catch all bugs of a certain kind. Moreover, a static analysis run typically lasts a few hours, and can be integrated in the development cycle in a seamless manner. For instance, **ASTRÉE** successfully verified the absence of runtime error in several families of safety critical fly-by-wire avionic software, in at most a day of computation, on standard hardware. Other kinds of synchronous embedded software have also been analyzed with good results.

In the future, we plan to greatly extend this work so as to verify *other families of embedded software* (such as communication, navigation and monitoring software) and *other families of properties* (such as security and liveness properties).

Embedded software in charge of communication, navigation, monitoring typically rely on a *parallel* structure, where several threads are executed in parallel, and manage different features (input, output, user interface, internal computation, logging...). This structure is also often found in automotive software. An even more complex case is that of *distributed* systems, where several separate computers are run in parallel and take care of several sub-tasks of a same feature, such as braking. Such a logical structure is not only more complex than the synchronous one, but it also introduces new risks and new families of errors (deadlocks, data-races...). Moreover, such less well designed, and more complex embedded software often utilizes more complex data-structures than synchronous programs (which typically only use arrays to store previous states) and may use dynamic memory allocation, or build dynamic structures inside static memory regions, which are actually even harder to verify than conventional dynamically allocated data structures. Complex data-structures also introduce new kinds of risks (the failure to maintain structural invariants may lead to runtime errors, non termination, or other software failures). To verify such programs, we will design additional abstract domains, and develop new static analysis techniques, in order to support the analysis of more complex programming language features such as parallel and concurrent programming with threads and manipulations of complex data structures. Due to their size and complexity, the verification of such families of embedded software is a major challenge for the research community.

Furthermore, embedded systems also give rise to novel security concerns. It is in particular the case for some aircraft-embedded computer systems, which communicate with the ground through untrusted communication media. Besides, the increasing demand for new capabilities, such as enhanced on-board connectivity, e.g. using mobile devices, together with the need for cost reduction, leads to more integrated and interconnected systems. For instance, modern aircrafts embed a large number of computer systems, from safety-critical cockpit avionics to passenger entertainment. Some systems meet both safety and security requirements.

Despite thorough segregation of subsystems and networks, some shared communication resources raise the concern of possible intrusions. Because of the size of such systems, and considering that they are evolving entities, the only economically viable alternative is to perform automatic analyses. Such analyses of security and confidentiality properties have never been achieved on large-scale systems where security properties interact with other software properties, and even the mapping between high-level models of the systems and the large software base implementing them has never been done and represents a great challenge. Our goal is to prove empirically that the security of such large scale systems can be proved formally, thanks to the design of dedicated abstract interpreters.

The long term goal is to make static analysis more widely applicable to the verification of industrial software.

## 4.2. Static analysis of software components and libraries

An important goal of our work is to make static analysis techniques easier to apply to wider families of software. Then, in the longer term, we hope to be able to verify less critical, yet very commonly used pieces of software. Those are typically harder to analyze than critical software, as their development process tends to be less rigorous. In particular, we will target operating systems components and libraries. As of today, the verification of such programs is considered a major challenge to the static analysis community.

As an example, most programming languages offer Application Programming Interfaces (API) providing ready-to-use abstract data structures (e.g., sets, maps, stacks, queues, etc.). These APIs, are known under the name of containers or collections, and provide off-the-shelf libraries of high level operations, such as insertion, deletion and membership checks. These container libraries give software developers a way of abstracting from low-level implementation details related to memory management, such as dynamic allocation, deletion and pointer handling or concurrency aspects, such as thread synchronization. Libraries implementing data structures are important building bricks of a huge number of applications, therefore their verification is paramount. We are interested in developing static analysis techniques that will prove automatically the correctness of large audience libraries such as Glib and Threading Building Blocks.

## 4.3. Biological systems

Computer Science takes a more and more important role in the design and the understanding of biological systems such as signaling pathways, self assembly systems, DNA repair mechanisms. Biology has gathered large data-bases of facts about mechanistic interactions between proteins, but struggles to draw an overall picture of how these systems work as a whole. High level languages designed in Computer Science allow to collect these interactions in integrative models, and provide formal definitions (i.e., semantics) for the behavior of these models. This way, modelers can encode their knowledge, following a bottom-up discipline, without simplifying *a priori* the models at the risk of damaging the key properties of the system. Yet, the systems that are obtained this way suffer from combinatorial explosion (in particular, in the number of different kinds of molecular components, which can arise at run-time), which prevents from a naive computation of their behavior.

We develop various abstract interpretation-based analyses, tailored to different phases of the modeling process. We propose automatic static analyses in order to detect inconsistencies in the early phases of the modeling process. These analyses are similar to the analysis of classical safety properties of programs. They involve both forward and backward reachability analyses as well as causality analyses, and can be tuned at different levels of abstraction. We also develop automatic static analyses so as to identify the key elements in the dynamics of these models. The results of these analyses are sent to another tool, which is used to automatically simplify the models. The correctness of this simplification process is proved by the means of abstract interpretation: this ensures formally that the simplification preserves the quantitative properties that have been specified beforehand by the modeler. The whole pipeline is parameterized by a large choice of abstract domains which exploits different features of the high level description of models.

## AOSTE Project-Team

### 4. Application Domains

#### 4.1. System Engineering Environments

**Participants:** Robert de Simone, Julien Deantoni, Frédéric Mallet, Marie Agnes Peraldi Frati.

In the case of Embedded and Cyber-Physical Systems, the cyber/digital design of discrete controllers is only a part of a larger design process, we other aspects of the physical environment need to be considered as well, involving constraints and requirements on the global system (people even talk of *Systems of Systems*). Dedicated environments are now being defined, also considering system life-cycle and component reuse in this larger setting, under the name of *Atelier Génie Système* (in French). Such efforts usually involve large industrial end-users, together with software houses of tool vendors, and academic partners altogether. An instance of such environment is the Cappella (open-source, Eclipse) environment, promoted by the Clarity project and its associated consortium [8.1.2.2](#) .

#### 4.2. Many-Core Embedded Architectures

**Participants:** Robert de Simone, Dumitru Potop Butucaru, Liliana Cucu, Yves Sorel.

The AAA approach (fitting embedded applications onto embedded architectures) requires a sufficiently precise description of (a model of) the architecture (description platform). Such platforms become increasingly heterogeneous, and we had to consider a number of emerging ones with that goal in mind, such as Kalray MPPA (in the CAPACITES project [8.1.2.3](#) , IntelCore dual CPU/GPU structure in a collaboration with Kontron, ARM big.LITTLE architecture in the course of the HOPE ANR project [8.1.1.1](#) , or a dedicated supercomputer based on Network-on-Board interconnect in the Clistine project [8.1.1.3](#) .

#### 4.3. Transportation and the avionic domain

**Participants:** Robert de Simone, Julien Deantoni, Frédéric Mallet, Marie Agnès Peraldi Frati, Dumitru Potop Butucaru, Liliana Cucu, Yves Sorel.

A large number of our generic activities, both on modeling and design, and on analysis and implementation of real-time embedded systems, found specific applications in the avionic field (with partners such as Airbus, Thales, Safran,...), while other targets remained less attainable (car industry for instance).

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## CASCADE Project-Team

# 4. Application Domains

## 4.1. Privacy for the Cloud

Many companies have already started the migration to the Cloud and many individuals share their personal informations on social networks. While some of the data are public information, many of them are personal and even quite sensitive. Unfortunately, the current access mode is purely right-based: the provider first authenticates the client, and grants him access, or not, according to his rights in the access-control list. Therefore, the provider itself not only has total access to the data, but also knows which data are accessed, by whom, and how: privacy, which includes secrecy of data (confidentiality), identities (anonymity), and requests (obliviousness), should be enforced. Moreover, while high availability can easily be controlled, and thus any defect can immediately be detected, failures in privacy protection can remain hidden for a long time. The industry of the Cloud introduces a new implicit trust requirement: nobody has any idea at all of where and how his data are stored and manipulated, but everybody should blindly trust the providers. The providers will definitely do their best, but this is not enough. Privacy-compliant procedures cannot be left to the responsibility of the provider: however strong the trustfulness of the provider may be, any system or human vulnerability can be exploited against privacy. This presents too huge a threat to tolerate. *The distribution of the data and the secrecy of the actions must be given back to the users. It requires promoting privacy as a global security notion.*

In order to protect the data, one needs to encrypt it. Unfortunately, traditional encryption systems are inadequate for most applications involving big, complex data. Recall that in traditional public key encryption, a party encrypts data to a single known user, which lacks the expressiveness needed for more advanced data sharing. In enterprise settings, a party will want to share data with groups of users based on their credentials. Similarly, individuals want to selectively grant access to their personal data on social networks as well as documents and spreadsheets on Google Docs. Moreover, the access policy may even refer to users who do not exist in the system at the time the data is encrypted. Solving this problem requires an entirely new way of encrypting data.

A first natural approach would be **fully homomorphic encryption** (FHE, see above), but a second one is also **functional encryption**, that is an emerging paradigm for public-key encryption: it enables more fine-grained access control to encrypted data, for instance, the ability to specify a decryption policy in the ciphertext so that only individuals who satisfy the policy can decrypt, or the ability to associate keywords to a secret key so that it can only decrypt documents containing the keyword. Our work on functional encryption centers around two goals:

1. to obtain more efficient pairings-based functional encryption;
2. and to realize new functionalities and more expressive functional encryption schemes.

Another approach is **secure multi-party computation protocols**, where interactivity might provide privacy in a more efficient way. Recent implicit interactive proofs of knowledge can be a starting point. But stronger properties are first expected for improving privacy. They can also be integrated into new ad-hoc broadcast systems, in order to distribute the management among several parties, and eventually remove any trust requirements.

Strong privacy for the Cloud would have a huge societal impact since it would revolutionize the trust model: users would be able to make safe use of outsourced storage, namely for personal, financial and medical data, without having to worry about failures or attacks of the server.

## 4.2. Hardware Security

Cryptography is only one component of information security, but it is a crucial component. Without cryptography, it would be impossible to establish secure communications between users over insecure networks like the Internet. In particular, public-key cryptography (invented by Diffie and Hellman in 1976) enables to establish secure communications between users who have never met physically before. One can argue that companies like E-Bay or Amazon could not exist without public-key cryptography. Since 30 years the theory of cryptography has developed considerably. However cryptography is not only a theoretical science; namely at some point the cryptographic algorithms must be implemented on physical devices, such as PCs, smart cards or RFIDs. Then problems arise: in general smart cards and RFIDs have limited computing power and leak information through power consumption and electro-magnetic radiations. Similarly a PC can be exposed to various computer viruses which can leak private informations to a remote attacker. Such information leakage can be exploited by an attacker; this is called a **side-channel attack**. It is well known that a cryptographic algorithm which is perfectly secure in theory can be completely insecure in practice if improperly implemented.

In general, countermeasures against side-channel attacks are heuristic and can only make a particular implementation resist particular attacks. Instead of relying on ad-hoc security patches, a better approach consists in working in the framework of **provable security**. The goal is to prove that a cryptosystem does not only resist specific attacks but can resist any possible side-channel attack. As already demonstrated with cryptographic protocols, this approach has the potential to significantly increase the security level of cryptographic products. Recently the cryptography research community has developed new security models to take into account these practical implementation attacks; the most promising such model is called the **leakage-resilient model**.

Therefore, our goal is to define new security models that take into account any possible side-channel attack, and then to design new cryptographic schemes and countermeasures with a proven security guarantee against side-channel attacks.



## GALLIUM Project-Team

# 4. Application Domains

## 4.1. High-assurance software

A large part of our work on programming languages and tools focuses on improving the reliability of software. Functional programming, program proof, and static type-checking contribute significantly to this goal.

Because of its proximity with mathematical specifications, pure functional programming is well suited to program proof. Moreover, functional programming languages such as OCaml are eminently suitable to develop the code generators and verification tools that participate in the construction and qualification of high-assurance software. Examples include Esterel Technologies's KCG 6 code generator, the Astrée static analyzer, the Caduceus/Jessie program prover, and the Frama-C platform. Our own work on compiler verification combines these two aspects of functional programming: writing a compiler in a pure functional language and mechanically proving its correctness.

Static typing detects programming errors early, prevents a number of common sources of program crashes (null dereferences, out-of bound array accesses, etc), and helps tremendously to enforce the integrity of data structures. Judicious uses of generalized abstract data types (GADTs), phantom types, type abstraction and other encapsulation mechanisms also allow static type checking to enforce program invariants.

## 4.2. Software security

Static typing is also highly effective at preventing a number of common security attacks, such as buffer overflows, stack smashing, and executing network data as if it were code. Applications developed in a language such as OCaml are therefore inherently more secure than those developed in unsafe languages such as C.

The methods used in designing type systems and establishing their soundness can also deliver static analyses that automatically verify some security policies. Two examples from our past work include Java bytecode verification [50] and enforcement of data confidentiality through type-based inference of information flow and noninterference properties [54].

## 4.3. Processing of complex structured data

Like most functional languages, OCaml is very well suited to expressing processing and transformations of complex, structured data. It provides concise, high-level declarations for data structures; a very expressive pattern-matching mechanism to destructure data; and compile-time exhaustiveness tests. Therefore, OCaml is an excellent match for applications involving significant amounts of symbolic processing: compilers, program analyzers and theorem provers, but also (and less obviously) distributed collaborative applications, advanced Web applications, financial modeling tools, etc.

## 4.4. Rapid development

Static typing is often criticized as being verbose (due to the additional type declarations required) and inflexible (due to, for instance, class hierarchies that must be fixed in advance). Its combination with type inference, as in the OCaml language, substantially diminishes the importance of these problems: type inference allows programs to be initially written with few or no type declarations; moreover, the OCaml approach to object-oriented programming completely separates the class inheritance hierarchy from the type compatibility relation. Therefore, the OCaml language is highly suitable for fast prototyping and the gradual evolution of software prototypes into final applications, as advocated by the popular "extreme programming" methodology.

## **4.5. Teaching programming**

Our work on the Caml language family has an impact on the teaching of programming. Caml Light is one of the programming languages selected by the French Ministry of Education for teaching Computer Science in *classes préparatoires scientifiques*. OCaml is also widely used for teaching advanced programming in engineering schools, colleges and universities in France, the USA, and Japan.

**MUTANT Project-Team (section vide)**

**PARKAS Project-Team (section vide)**

**PI.R2 Project-Team (section vide)**

**POLSYS Project-Team (section vide)**

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## PROSECCO Project-Team

# 4. Application Domains

## 4.1. Cryptographic Protocol Libraries

Cryptographic protocols such as TLS, SSH, IPSec, and Kerberos are the trusted base on which the security of modern distributed systems is built. Our work enables the analysis and verification of such protocols, both in their design and implementation. Hence, for example, we build and verify models and reference implementations for well-known protocols such as TLS and SSH, as well as analyze their popular implementations such as OpenSSL.

## 4.2. Hardware-based security APIs

Cryptographic devices such as Hardware Security Modules (HSMs) and smartcards are used to protect long-term secrets in tamper-proof hardware, so that even attackers who gain physical access to the device cannot obtain its secrets. These devices are used in a variety of scenarios ranging from bank servers to transportation cards (e.g. Navigo). Our work investigates the security of commercial cryptographic hardware and evaluates the APIs they seek to implement.

## 4.3. Web application security

Web applications use a variety of cryptographic techniques to securely store and exchange sensitive data for their users. For example, a website may serve pages over HTTPS, authenticate users with a single sign-on protocol such as OAuth, encrypt user files on the server-side using XML encryption, and deploy client-side cryptographic mechanisms using a JavaScript cryptographic library. The security of these applications depends on the public key infrastructure (X.509 certificates), web browsers' implementation of HTTPS and the same origin policy (SOP), the semantics of JavaScript, HTML5, and their various associated security standards, as well as the correctness of the specific web application code of interest. We build analysis tools to find bugs in all these artifacts and verification tools that can analyze commercial web applications and evaluate their security against sophisticated web-based attacks.

## **SECRET Project-Team**

# **4. Application Domains**

## **4.1. Cryptographic primitives**

Our major application domain is the design of cryptographic primitives, especially for platforms with restricting implementation requirements. For instance, we aim at recommending (or designing) low-cost (or extremely fast) encryption schemes, or primitives which remain secure against quantum computers.

## **4.2. Code Reconstruction**

To evaluate the quality of a cryptographic algorithm, it is usually assumed that its specifications are public, as, in accordance with Kerckhoffs principle, it would be dangerous to rely, even partially, on the fact that the adversary does not know those specifications. However, this fundamental rule does not mean that the specifications are known to the attacker. In practice, before mounting a cryptanalysis, it is necessary to strip off the data. This reverse-engineering process is often subtle, even when the data formatting is not concealed on purpose. A typical case is interception: some raw data, not necessarily encrypted, is observed out of a noisy channel. To access the information, the whole communication system has first to be disassembled and every constituent reconstructed. A transmission system actually corresponds to a succession of elements (symbol mapping, scrambler, channel encoder, interleaver...), and there exist many possibilities for each of them. In addition to the “preliminary to cryptanalysis” aspect, there are other links between those problems and cryptology. They share some scientific tools (algorithmics, discrete mathematics, probability...), but beyond that, there are some very strong similarities in the techniques.



## MATERIALS Project-Team

# 4. Application Domains

## 4.1. Homogenization and related problems

Over the years, the project-team has developed an increasing expertise on how to couple models written at the atomistic scale with more macroscopic models, and, more generally, an expertise in multiscale modelling for materials science.

The following observation motivates the idea of coupling atomistic and continuum representation of materials. In many situations of interest (crack propagation, presence of defects in the atomistic lattice, ...), using a model based on continuum mechanics is difficult. Indeed, such a model is based on a macroscopic constitutive law, the derivation of which requires a deep qualitative and quantitative understanding of the physical and mechanical properties of the solid under consideration. For many solids, reaching such an understanding is a challenge, as loads they are subjected to become larger and more diverse, and as experimental observations helping designing such models are not always possible (think of materials used in the nuclear industry). Using an atomistic model in the whole domain is not possible either, due to its prohibitive computational cost. Recall indeed that a macroscopic sample of matter contains a number of atoms on the order of  $10^{23}$ . However, it turns out that, in many situations of interest, the deformation that we are looking for is not smooth in *only a small part* of the solid. So, a natural idea is to try to take advantage of both models, the continuum mechanics one and the atomistic one, and to couple them, in a domain decomposition spirit. In most of the domain, the deformation is expected to be smooth, and reliable continuum mechanics models are then available. In the rest of the domain, the expected deformation is singular, so that one needs an atomistic model to describe it properly, the cost of which remains however limited as this region is small.

From a mathematical viewpoint, the question is to couple a discrete model with a model described by PDEs. This raises many questions, both from the theoretical and numerical viewpoints:

- first, one needs to derive, from an atomistic model, continuum mechanics models, under some regularity assumptions that encode the fact that the situation is smooth enough for such a macroscopic model to provide a good description of the materials;
- second, couple these two models, e.g. in a domain decomposition spirit, with the specificity that models in both domains are written in a different language, that there is no natural way to write boundary conditions coupling these two models, and that one would like the decomposition to be self-adaptive.

More generally, the presence of numerous length scales in material science problems represents a challenge for numerical simulation, especially when some *randomness* is assumed on the materials. It can take various forms, and includes defects in crystals, thermal fluctuations, and impurities or heterogeneities in continuous media. Standard methods available in the literature to handle such problems often lead to very costly computations. Our goal is to develop numerical methods that are more affordable. Because we cannot embrace all difficulties at once, we focus on a simple case, where the fine scale and the coarse-scale models can be written similarly, in the form of a simple elliptic partial differential equation in divergence form. The fine scale model includes heterogeneities at a small scale, a situation which is formalized by the fact that the coefficients in the fine scale model vary on a small length scale. After homogenization, this model yields an effective, macroscopic model, which includes no small scale. In many cases, a sound theoretical groundwork exists for such homogenization results. The difficulty stems from the fact that the models generally lead to prohibitively costly computations. For such a case, simple from the theoretical viewpoint, our aim is to focus on different practical computational approaches to speed-up the computations. One possibility, among others, is to look for specific random materials, relevant from the practical viewpoint, and for which a dedicated approach can be proposed, that is less expensive than the general approach.

## 4.2. Electronic structure of large systems

As the size of the systems one wants to study increases, more efficient numerical techniques need to be resorted to. In computational chemistry, the typical scaling law for the complexity of computations with respect to the size of the system under study is  $N^3$ ,  $N$  being for instance the number of electrons. The Holy Grail in this respect is to reach a linear scaling, so as to make possible simulations of systems of practical interest in biology or material science. Efforts in this direction must address a large variety of questions such as

- how can one improve the nonlinear iterations that are the basis of any *ab initio* models for computational chemistry?
- how can one more efficiently solve the inner loop which most often consists in the solution procedure for the linear problem (with frozen nonlinearity)?
- how can one design a sufficiently small variational space, whose dimension is kept limited while the size of the system increases?

An alternative strategy to reduce the complexity of *ab initio* computations is to try to couple different models at different scales. Such a mixed strategy can be either a sequential one or a parallel one, in the sense that

- in the former, the results of the model at the lower scale are simply used to evaluate some parameters that are inserted in the model for the larger scale: one example is the parameterized classical molecular dynamics, which makes use of force fields that are fitted to calculations at the quantum level;
- while in the latter, the model at the lower scale is concurrently coupled to the model at the larger scale: an instance of such a strategy is the so called QM/MM coupling (standing for Quantum Mechanics/Molecular Mechanics coupling) where some part of the system (typically the reactive site of a protein) is modeled with quantum models, that therefore accounts for the change in the electronic structure and for the modification of chemical bonds, while the rest of the system (typically the inert part of a protein) is coarse grained and more crudely modeled by classical mechanics.

The coupling of different scales can even go up to the macroscopic scale, with methods that couple a microscopic representation of matter, or at least a mesoscopic one, with the equations of continuum mechanics at the macroscopic level.

## 4.3. Computational Statistical Mechanics

The orders of magnitude used in the microscopic representation of matter are far from the orders of magnitude of the macroscopic quantities we are used to: The number of particles under consideration in a macroscopic sample of material is of the order of the Avogadro number  $\mathcal{N}_A \sim 6 \times 10^{23}$ , the typical distances are expressed in Å ( $10^{-10}$  m), the energies are of the order of  $k_B T \simeq 4 \times 10^{-21}$  J at room temperature, and the typical times are of the order of  $10^{-15}$  s when the proton mass is the reference mass.

To give some insight into such a large number of particles contained in a macroscopic sample, it is helpful to compute the number of moles of water on earth. Recall that one mole of water corresponds to 18 mL, so that a standard glass of water contains roughly 10 moles, and a typical bathtub contains  $10^5$  mol. On the other hand, there are approximately  $10^{18}$  m<sup>3</sup> of water in the oceans, *i.e.*  $7 \times 10^{22}$  mol, a number comparable to the Avogadro number. This means that inferring the macroscopic behavior of physical systems described at the microscopic level by the dynamics of several millions of particles only is like inferring the ocean's dynamics from hydrodynamics in a bathtub...

For practical numerical computations of matter at the microscopic level, following the dynamics of every atom would require simulating  $\mathcal{N}_A$  atoms and performing  $O(10^{15})$  time integration steps, which is of course impossible! These numbers should be compared with the current orders of magnitude of the problems that can be tackled with classical molecular simulation, where several millions of atoms only can be followed over time scales of the order of 0.1  $\mu$ s.

Describing the macroscopic behavior of matter knowing its microscopic description therefore seems out of reach. Statistical physics allows us to bridge the gap between microscopic and macroscopic descriptions of matter, at least on a conceptual level. The question is whether the estimated quantities for a system of  $N$  particles correctly approximate the macroscopic property, formally obtained in the thermodynamic limit  $N \rightarrow +\infty$  (the density being kept fixed). In some cases, in particular for simple homogeneous systems, the macroscopic behavior is well approximated from small-scale simulations. However, the convergence of the estimated quantities as a function of the number of particles involved in the simulation should be checked in all cases.

Despite its intrinsic limitations on spatial and timescales, molecular simulation has been used and developed over the past 50 years, and its number of users keeps increasing. As we understand it, it has two major aims nowadays.

First, it can be used as a *numerical microscope*, which allows us to perform “computer” experiments. This was the initial motivation for simulations at the microscopic level: physical theories were tested on computers. This use of molecular simulation is particularly clear in its historic development, which was triggered and sustained by the physics of simple liquids. Indeed, there was no good analytical theory for these systems, and the observation of computer trajectories was very helpful to guide the physicists’ intuition about what was happening in the system, for instance the mechanisms leading to molecular diffusion. In particular, the pioneering works on Monte-Carlo methods by Metropolis *et al.*, and the first molecular dynamics simulation of Alder and Wainwright were performed because of such motivations. Today, understanding the behavior of matter at the microscopic level can still be difficult from an experimental viewpoint (because of the high resolution required, both in time and in space), or because we simply do not know what to look for! Numerical simulations are then a valuable tool to test some ideas or obtain some data to process and analyze in order to help assessing experimental setups. This is particularly true for current nanoscale systems.

Another major aim of molecular simulation, maybe even more important than the previous one, is to compute macroscopic quantities or thermodynamic properties, typically through averages of some functionals of the system. In this case, molecular simulation is a way to obtain *quantitative* information on a system, instead of resorting to approximate theories, constructed for simplified models, and giving only qualitative answers. Sometimes, these properties are accessible through experiments, but in some cases only numerical computations are possible since experiments may be unfeasible or too costly (for instance, when high pressure or large temperature regimes are considered, or when studying materials not yet synthesized). More generally, molecular simulation is a tool to explore the links between the microscopic and macroscopic properties of a material, allowing one to address modelling questions such as “Which microscopic ingredients are necessary (and which are not) to observe a given macroscopic behavior?”

## **MATHRISK Project-Team**

### **4. Application Domains**

#### **4.1. Financial Mathematics, Insurance**

The applications domains are quantitative finance and insurance with emphasis on risk modeling and control. In particular, Mathrisk focuses on dependence modeling, systemic risk, market microstructure modeling and risk measures.

## MOKAPLAN Project-Team

# 4. Application Domains

## 4.1. Freeform Optics

Following the pioneering work of Caffarelli and Oliker [92], Wang [184] has shown that the inverse problem of freeforming a *convex* reflector which sends a prescribed source to a target intensity is a particular instance of Optimal Transportation. This is a promising approach to automatize the industrial design of optimised energy efficient reflectors (car/public lights for instance). We show in figure 10 the experiment setting and one of the first numerical simulations produced by the ADT Mokabajour.

The method developed in [68] has been used by researchers of TU Eindhoven in collaboration with Philips Lighting Labs to compute reflectors [167] in a simplified setting (directional light source). Another approach, based on a geometric discretization of Optimal Transportation has been developed in [8], and is able to handle more realistic conditions (punctual light source).

Solving the exact Optimal Transportation model for the Reflector inverse problem involves a generalized Monge-Ampère problem and is linked to the open problem of  $c$ -convexity compatible discretization we plan to work on. The corresponding software development is the topic of the ADT Mokabajour.

### 4.1.1. Software and industrial output.

See section 4.3 below for softwares. These methods will clearly become mainstream in reflector design but also in lense design [170]. The industrial problems are mainly on efficiency (light pollution) and security (car head lights) based on free tailoring of the illumination. The figure below is an extreme test case where we exactly reproduce an image. They may represent one of the first incursion on PDE discretisation based methods into the field of non-imaging optics.

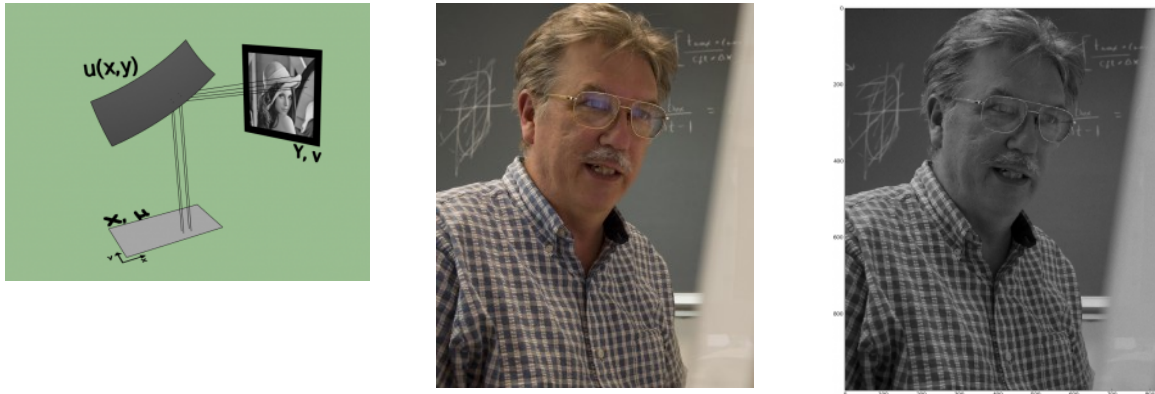


Figure 10. A constant source to a prescribed image (center). The reflector is computed (but not shown) and a resimulation using ray tracing shows the image reflected by the computed reflector.

## 4.2. Metric learning for natural language processing

The analysis of large scale datasets to perform un-supervised (clustering) and supervised (classification, regression) learning requires the design of advanced models to capture the geometry of the input data. We believe that optimal transport is a key tool to address this problem because (i) many of these datasets are composed of histograms (social network activity, image signatures, etc.) (ii) optimal transport makes use of a ground metric that enhances the performances of classical learning algorithms, as illustrated for instance in [118].

Some of the theoretical and numerical tools developed by our team, most notably Wasserstein barycenters [51], [76], are now becoming mainstream in machine learning [72], [118]. In its simplest (convex) form where one seeks to only maximize pairwise wasserstein distances, metric learning corresponds to the congestion problem studied by G. Carlier and collaborators [106], [79], and we will elaborate on this connection to perform both theoretical analysis and develop numerical schemes (see for instance our previous work [69]).

We aim at developing novel variational estimators extending classification regression energies (SVM, logistic regression [133]) and kernel methods (see [175]). One of the key bottleneck is to design numerical schemes to learn an optimal metric for these purpose, extending the method of Marco Cuturi [117] to large scale and more general estimators. Our main targeted applications is natural language processing. The analysis and processing of large corpus of texts is becoming a key problems at the interface between linguistic and machine learning [55]. Extending classical machine learning methods to this field requires to design suitable metrics over both words and bag-of-words (i.e. histograms). Optimal transport is thus a natural candidate to bring innovative solutions to these problems. In a collaboration with Marco Cuturi (Kyoto University), we aim at unleashing the power of transportation distances by performing ground distance learning on large database of text. This requires to lift previous works on distance on words (see in particular [161]) to distances on bags-of-words using transport and metric learning.



Figure 11. Examples of two histogram (bag-of-words) extracted from the congress speech of US president. In this application, the goal is to infer a meaningful metric on the words of the english language and lift this metric to histogram using OT technics.

## 4.3. Physics

The Brenier interpretation of the generalized solutions of Euler equations in the sense of Arnold is an instance of multi-marginal optimal transportation, a recent and expanding research field which also appears in DFT (see chemistry below). Recent numerical developments in OT provide new means of exploring these class of solutions.

In the years 2000 and after the pioneering works of Otto, the theory of *many-particle systems* has become “geometrized” thanks to the observed intimate relation between the geometric theory of geodesic convexity in the Wasserstein distance and the proof of entropy dissipation inequalities that determine the trend to

equilibrium. The OT approach to the study of equilibration is still an extremely active field, in particular the various recently established connections to sharp functional inequalities and isoperimetric problems.

A third specific topic is the use of optimal transport models in *non-imaging optics*. Light intensity here plays the role of the source/target prescribed mass and the transport map defines the physical shape of specular reflector or refracting lense achieving such a transformation. This models have been around since the works of Oliker and Wang in the 90's. Recent numerical progresses indicate that OT may have an important industrial impact in the design of optical elements and calls for further modelisation and analysis.

#### 4.4. Chemistry

The treatment of *chemical reactions* in the framework of OT is a rather recent development. The classical theory must be extended to deal with the transfer of mass between different particle species by means of chemical reactions.

A promising and significant recent advance is the introduction and analysis of a novel metric that combines the pure transport elements of the Wasserstein distance with the annihilation and creation of mass, which is a first approximation of chemical reactions. The logical next challenge is the extension of OT concepts to vectorial quantities, which allows to rewrite cross-diffusion systems for the concentration of several chemical species as gradient flows in the associated metric. An example of application is the modeling of a *chemical vapor deposition process*, used for the manufacturing of thin-film solar cells for instance. This leads to a degenerate cross-diffusion equations, whose analysis — without the use of OT theory — is delicate. Finding an appropriate OT framework to give the formal gradient flow structure a rigorous meaning would be a significant advance for the applicability of the theory, also in other contexts, like for biological multi-species diffusion.

A very different application of OT in chemistry is a novel approach to the understanding of *density functional theory* (DFT) by using optimal transport with “Coulomb costs”, which is highly non convex and singular. Albeit this theory shares some properties with the usual optimal transportation problems, it does not induce a metric between probability measures. It also uses the multi-marginal extension of OT, which is an active field on its own right.

#### 4.5. Biology

OT methods have been introduced in biology via gradient flows in the Wasserstein metric. Writing certain *chemotaxis* systems in variational form allowed to prove sharp estimates on the long time asymptotics of the bacterial aggregation. This application had a surprising payback on the theory: it lead to a better understanding and novel proofs of important functional inequalities, like the logarithmic Hardy-Littlewood-Sobolev inequality. Further applications followed, like transport models for species that avoid over-crowding, or cross-diffusion equations for the description of *biologic segregation*. The inclusion of dissipative cross-diffusion systems into the framework of gradient flows in OT-like metrics appears to be one of the main challenges for the future development of the theory. This extension is not only relevant for biological applications, but is clearly of interest to participants with primary interest in physics or chemistry as well.

Further applications include the connection of OT with game theory, following the idea that many selection processes are based on competition. The ansatz is quite universal and has been used in other areas of the *life sciences* as well, like for the modeling of personal income in economics.

#### 4.6. Medical Imaging

Applications of variational methods are widespread in medical imaging and especially for diffeomorphic image matching. The formulation of large deformation by diffeomorphisms consists in finding geodesics on a group of diffeomorphisms. This can be seen as a non-convex and smoothed version of optimal transport where a correspondence is sought between objects that can be more general than densities. Whereas the diffeomorphic approach is well established, similarity measures between objects of interest are needed in order to drive the optimization. While being crucial for the final registration results, these similarity measures

are often non geometric due to a need of fast computability and gradient computation. However, our team pioneered the use of entropic smoothing for optimal transport which gives fast and differentiable similarity measures that take into account the geometry. Therefore, we expect an important impact on this topic, work still in progress. This example of application belongs to the larger class of inverse problems where a geometric similarity measure such as optimal transport might enhance notably the results. Concerning this particular application, potential interactions with the Inria team ARAMIS and also the team ASCLEPIOS can leverage new proposed similarity measure towards a more applicative impact.

## **4.7. Economics**

Recent years have seen intense cross-fertilization between OT and various problems arising in economics. The principal-agent problem with adverse selection is particularly important in modern microeconomics, mathematically it consists in minimizing a certain integral cost functional among the set of  $c$ -concave functions, this problem is convex under some conditions related to the MTW regularity theory for OT as shown in the important paper [124]. Other examples of fruitful interactions between mathematical economics concern multi-marginal OT and multi-populations matching [102], or games with a continuum of agents and Cournot-Nash equilibria [73]. The team has as strong expertise, both numerical and theoretical in the field of variational problems subject to a convexity constraint and their applications to the principal-agent problem. Our expertise in numerical OT and entropic regularization will also enable us to develop efficient solvers for realistic matching and hedonic pricing models.



## QUANTIC Project-Team

# 4. Application Domains

## 4.1. Quantum engineering

A new field of quantum systems engineering has emerged during the last few decades. This field englobes a wide range of applications including nano-electromechanical devices, nuclear magnetic resonance applications, quantum chemical synthesis, high resolution measurement devices and finally quantum information processing devices for implementing quantum computation and quantum communication. Recent theoretical and experimental achievements have shown that the quantum dynamics can be studied within the framework of estimation and control theory, but give rise to new models that have not been fully explored yet.

The QUANTIC team's activities are defined at the border between theoretical and experimental efforts of this emerging field with an emphasis on the applications in quantum information, computation and communication. The main objective of this interdisciplinary team is to develop quantum devices ensuring a robust processing of quantum information.

On the theory side, this is done by following a system theory approach: we develop estimation and control tools adapted to particular features of quantum systems. The most important features, requiring the development of new engineering methods, are related to the concept of measurement and feedback for composite quantum systems. The destructive and partial<sup>0</sup> nature of measurements for quantum systems lead to major difficulties in extending classical control theory tools. Indeed, design of appropriate measurement protocols and, in the sequel, the corresponding quantum filters estimating the state of the system from the partial measurement record, are themselves building blocks of the quantum system theory to be developed.

On the experimental side, we develop new quantum information processing devices based on quantum superconducting circuits. Indeed, by realizing superconducting circuits at low temperatures and using microwave measurement techniques, the macroscopic and collective degrees of freedom such as the voltage and the current are forced to behave according to the laws of quantum mechanics. Our quantum devices are aimed to protect and process quantum information through these integrated circuits.

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<sup>0</sup>Here the partiality means that no single quantum measurement is capable of providing the complete information on the state of the system.

## **SIERRA Project-Team**

# **4. Application Domains**

## **4.1. Application Domains**

Machine learning research can be conducted from two main perspectives: the first one, which has been dominant in the last 30 years, is to design learning algorithms and theories which are as generic as possible, the goal being to make as few assumptions as possible regarding the problems to be solved and to let data speak for themselves. This has led to many interesting methodological developments and successful applications. However, we believe that this strategy has reached its limit for many application domains, such as computer vision, bioinformatics, neuro-imaging, text and audio processing, which leads to the second perspective our team is built on: Research in machine learning theory and algorithms should be driven by interdisciplinary collaborations, so that specific prior knowledge may be properly introduced into the learning process, in particular with the following fields:

- Computer vision: object recognition, object detection, image segmentation, image/video processing, computational photography. In collaboration with the Willow project-team.
- Bioinformatics: cancer diagnosis, protein function prediction, virtual screening. In collaboration with Institut Curie.
- Text processing: document collection modeling, language models.
- Audio processing: source separation, speech/music processing.
- Neuro-imaging: brain-computer interface (fMRI, EEG, MEG).

## ANGE Project-Team

# 4. Application Domains

## 4.1. Overview

Sustainable development and environment preservation have a growing importance and scientists have to address difficult issues such as: management of water resources, renewable energy production, biogeochemistry of oceans, resilience of society w.r.t. hazardous flows, ...

As mentioned above, the main issue is to propose models of reduced complexity, suitable for scientific computing and endowed with stability properties (continuous and/or discrete). In addition, models and their numerical approximations have to be confronted with experimental data, as analytical solutions are hardly accessible for these problems/models. A. Mangeney (IPGP) and N. Goutal (EDF) may provide useful data.

## 4.2. Geophysical flows

Reduced models like the shallow water equations are particularly well-adapted to the modelling of geophysical flows since they are characterized by large time or/and space scales. For long time simulations, the preservation of equilibria is essential as global solutions are a perturbation around them. The analysis and the numerical preservation of non-trivial equilibria, more precisely when the velocity does not vanish, are still a challenge. In the fields of oceanography and meteorology, the numerical preservation of the so-called geostrophic quasi-steady state, which is the balance between the gravity field and the Coriolis force, can significantly improve the forecasts. In addition, data assimilation is required to improve the simulations and correct the dissipative effect of the numerical scheme.

The sediment transport modelling is of major interest in terms of applications, in particular to estimate the sustainability of facilities with silt or scour, such as canals and bridges. Dredging or filling-up operations are costly and generally not efficient in long term. The objective is to determine a configuration almost stable with the facilities. In addition, it is also important to determine the impact of major events like emptying dam which is aimed at evacuating the sediments in the dam reservoir and requires a large discharge. However, the downstream impact should be measured in terms of turbidity, river morphology and flood.

## 4.3. Hydrological disasters

It is a violent, sudden and destructive flow. Between 1996 and 2005, nearly 80% of natural disasters in the world have meteorological or hydrological origins. The main interest of their study is to predict the areas in which they may occur most probably and to prevent damages by means of suitable amenities. In France, floods are the most recurring natural disasters and produce the worst damages. For example, it can be a cause or a consequence of a dam break. The large surface they cover and the long period they can last require the use of reduced models like the shallow water equations. In urban areas, the flow can be largely impacted by the debris, in particular cars, and this requires fluid/structure interactions be well understood. Moreover, underground flows, in particular in sewers, can accelerate and amplify the flow. To take them into account, the model and the numerical resolution should be able to treat the transition between free surface and underground flows.

Tsunamis are another hydrological disaster largely studied. Even if the propagation of the wave is globally well described by the shallow water model in oceans, it is no longer the case close to the epicenter and in the coastal zone where the bathymetry leads to vertical accretions and produces substantial dispersive effects. The non-hydrostatic terms have to be considered and an efficient numerical resolution should be induced.

While the viscous effects can often be neglected in water flows, they have to be taken into account in situations such as avalanches, debris flows, pyroclastic flows, erosion processes, ...i.e. when the fluid rheology becomes more complex. Gravity driven granular flows consist of solid particles commonly mixed with an interstitial lighter fluid (liquid or gas) that may interact with the grains and decrease the intensity of their contacts, thus reducing energy dissipation and favoring propagation. Examples include subaerial or subaqueous rock avalanches (e.g. landslides).

#### **4.4. Biodiversity and culture**

Nowadays, simulations of the hydrodynamic regime of a river, a lake or an estuary, are not restricted to the determination of the water depth and the fluid velocity. They have to predict the distribution and evolution of external quantities such as pollutants, biological species or sediment concentration.

The potential of micro-algae as a source of biofuel and as a technological solution for CO<sub>2</sub> fixation is the subject of intense academic and industrial research. Large-scale production of micro-algae has potential for biofuel applications owing to the high productivity that can be attained in high-rate raceway ponds. One of the key challenges in the production of micro-algae is to maximize algae growth with respect to the exogenous energy that must be used (paddlewheel, pumps, ...). There is a large number of parameters that need to be optimized (characteristics of the biological species, raceway shape, stirring provided by the paddlewheel). Consequently our strategy is to develop efficient models and numerical tools to reproduce the flow induced by the paddlewheel and the evolution of the biological species within this flow. Here, mathematical models can greatly help us reduce experimental costs. Owing to the high heterogeneity of raceways due to gradients of temperature, light intensity and nutrient availability through water height, we cannot use depth-averaged models. We adopt instead more accurate multilayer models that have recently been proposed. However, it is clear that many complex physical phenomena have to be added to our model, such as the effect of sunlight on water temperature and density, evaporation and external forcing.

Many problems previously mentioned also arise in larger scale systems like lakes. Hydrodynamics of lakes is mainly governed by geophysical forcing terms: wind, temperature variations, ...

#### **4.5. Sustainable energy**

One of the booming lines of business is the field of renewable and decarbonated energies. In particular in the marine realm, several processes have been proposed in order to produce electricity thanks to the recovering of wave, tidal and current energies. We may mention water-turbines, buoys turning variations of the water height into electricity or turbines motioned by currents. Although these processes produce an amount of energy which is less substantial than in thermal or nuclear power plants, they have smaller dimensions and can be set up more easily.

The fluid energy has kinetic and potential parts. The buoys use the potential energy whereas the water-turbines are activated by currents. To become economically relevant, these systems need to be optimized in order to improve their productivity. While for the construction of a harbour, the goal is to minimize swell, in our framework we intend to maximize the wave energy.

This is a complex and original issue which requires a fine model of energy exchanges and efficient numerical tools. In a second step, the optimisation of parameters that can be changed in real-life, such as bottom bathymetry and buoy shape, must be studied. Eventually, physical experiments will be necessary for the validation.

## ARAMIS Project-Team

# 4. Application Domains

## 4.1. Introduction

We develop different applications of our new methodologies to brain pathologies, mainly neurodegenerative diseases, epilepsy and cerebrovascular disorders. These applications aim at:

- better understanding the pathophysiology of brain disorders;
- designing biomarkers of pathologies for diagnosis, prognosis and assessment of drug efficacy;
- developing brain computer interfaces for clinical applications;
- improving the localisation of stimulation targets in Deep Brain Stimulation protocol.

These applications are developed in close collaboration with biomedical researchers of the ICM and clinicians of the Pitié-Salpêtrière hospital.

## 4.2. Understanding brain disorders

The approaches that we develop allow to characterize anatomical and functional alterations, thus making it possible to study these alterations in different clinical populations. This can provide provide new insights into the mechanisms and progression of brain diseases. This typically involves the acquisition of neuroimaging data in a group of patients with a given pathology and in a group of healthy controls. Measures of anatomical and functional alterations are then extracted in each subject (for instance using segmentation of anatomical structures, shape models or graph-theoretic measures of functional connectivity). Statistical analyses are then performed to identify: i) significant differences between groups, ii) correlations between anatomical/functional alterations on the one hand, and clinical, cognitive or biological measures on the other hand, iii) progression of alterations over time.

We propose to apply our methodologies to study the pathophysiology of neurodegenerative diseases (mostly Alzheimer's disease and fronto-temporal dementia), epilepsy, cerebrovascular pathologies and neurodevelopmental disorders (Gilles de la Tourette syndrome). In neurodegenerative diseases, we aim at establishing the progression of alterations, starting from the early and even asymptomatic phases. In Gilles de la Tourette syndrome, we study the atypical anatomical patterns that may contribute to the emergence of symptoms. In epilepsy, we aim at studying the relationships between the different functional and structural components of epileptogenic networks.

## 4.3. Biomarkers for diagnosis, prognosis and clinical trials

Currently, the routine diagnosis of neurological disorders is mainly based on clinical examinations. This is also true for clinical trials, aiming to assess the efficacy of new treatments. However, clinical diagnoses only partially overlap with pathological processes. For instance, the sensitivity and specificity of clinical diagnosis of Alzheimer's disease (AD) based on established consensus criteria are of only about 70-80% compared to histopathological confirmation. Furthermore, the pathological processes often begin years before the clinical symptoms. Finally, clinical measures embed subjective aspects and have a limited reproducibility and are thus not ideal to track disease progression. It is thus crucial to supplement clinical examinations with biomarkers that can detect and track the progression of pathological processes in the living patient. This has potentially very important implications for the development of new treatments as it would help: i) identifying patients with a given pathology at the earliest stage of the disease, for inclusion in clinical trials; ii) providing measures to monitor the efficacy of treatments.

The derivation of biomarkers from image analysis approaches requires large-scale validation in well-characterized clinical populations. The ARAMIS team is strongly engaged in such efforts, in particular in the field of neurodegenerative disorders. To that purpose, we collaborate to several national studies (see section Partnerships) that involve multicenter and longitudinal acquisitions. Moreover, ARAMIS is strongly involved in the CATI which manages over 15 multicenter studies, including the national cohort MEMENTO (2000 patients).

#### **4.4. Brain computer interfaces for clinical applications**

A brain computer interface (BCI) is a device aiming to decode brain activity, thus creating an alternate communication channel between a person and the external environment. BCI systems can be categorized on the base of the classification of an induced or evoked brain activity. The central tenet of a BCI is the capability to distinguish different patterns of brain activity, each being associated to a particular intention or mental task. Hence adaptation, as well as learning, is a key component of a BCI because users must learn to modulate their brainwaves to generate distinct brain patterns. Usually, a BCI is considered a technology for people to substitute some lost functions. However, a BCI could also help in clinical rehabilitation to recover motor functions. Indeed, in current neuroscience-based rehabilitation it is recognized that protocols based on mental rehearsal of movements (like motor imagery practicing) are a way to access the motor system because they can induce an activation of sensorimotor networks that were affected by lesions. Hence, a BCI based on movement imagery can objectively monitor patients' progress and their compliance with the protocol, monitoring that they are actually imagining movements. It also follows that feedback from such a BCI can provide patients with an early reinforcement in the critical phase when there is not yet an overt sign of movement recovery. The BCI approaches that we develop are based on the characterization of the information contained in the functional connectivity patterns. We expect to significantly increase the performance of the BCI system with respect to the sole use of standard power spectra of the activity generated by single local brain areas. Such an improvement will concretely provide the user with a more precise control of the external environment in open-loop BCI tasks and a more coherent feedback in the closed-loop BCI schemes.

#### **4.5. Deep Brain Stimulation**

Deep Brain Stimulation (DBS) is a surgical technique, which consists in sending electrical impulses, through implanted electrodes, to specific parts of the brain for the treatment of movement and affective disorders. The technique has been initially developed for otherwise-treatment-resistant patients with essential tremors or Parkinson's disease. Its benefit in other affections, such as dystonia, obsessive-compulsive disorders, Tourette syndrome is currently investigated. The localisation of the stimulation target in specific nucleus in deep brain regions is key to the success of the surgery. This task is difficult since the target nucleus, or the precise sub-territory of a given nucleus is rarely visible in the Magnetic Resonance Image (MRI) of the patients. To address this issue, a possible technique is to personalize a high-resolution histological atlas of the brain to each patient. This personalization is achieved by registering the histological atlas, which consists of an image and meshes of deep brain structures, to the pre-operative MRI of each patient. The registration is currently done by optimally aligning image intensities in the atlas and patient's MRI using a block-matching algorithm. The linear nature of the transform makes the technique robust at the cost of a lack of precision, especially for elderly patients with expanded ventricles. We investigate the use of non-linear registration techniques to optimally align both image intensities and contours of visible structures surrounding the target. We expect to improve the localisation of the target for patients with large ventricles while keeping the method robust in all cases.

## CLIME Project-Team

# 4. Application Domains

## 4.1. Introduction

The first application domain of the project-team is atmospheric chemistry. We develop and maintain the air quality modeling system Polyphemus, which includes several numerical models (Gaussian models, Lagrangian model, two 3D Eulerian models including Polair3D) and their adjoints, and different high level methods: ensemble forecast, sequential and variational data assimilation algorithms. Advanced data assimilation methods, network design, inverse modeling, ensemble forecast are studied in the context of air chemistry. Note that addressing these high level issues requires controlling the full software chain (models and data assimilation algorithms).

The activity on assimilation of satellite data is mainly carried out for meteorology and oceanography. This is addressed in cooperation with external partners who provide numerical models. Concerning oceanography, the aim is to assess ocean surface circulation, by assimilating fronts and vortices displayed on image acquisitions. Concerning meteorology, the focus is on correcting the location of structures related to high-impact weather events (cyclones, convective storms, etc.) by assimilating images.

## 4.2. Air quality

Air quality modeling implies studying the interactions between meteorology and atmospheric chemistry in the various phases of matter, which leads to the development of highly complex models. The different usages of these models comprise operational forecasting, case studies, impact studies, etc., with both societal (e.g., public information on pollution forecast) and economical impacts (e.g., impact studies for dangerous industrial sites). Models lack some appropriate data, for instance better emissions, to perform an accurate forecast and data assimilation techniques are recognized as a major key point for improving forecast's quality.

In this context, Clime is interested in various problems, the following being the crucial ones:

- The development of ensemble forecast methods for estimating the quality of the prediction, in relation with the quality of the model and the observations. The ensemble methods allow sensitivity analysis with respect to the model's parameters so as to identify physical and chemical processes, whose modeling must be improved.
- The development of methodologies for sequential aggregation of ensemble simulations. What ensembles should be generated for that purpose, how spatialized forecasts can be generated with aggregation, how can the different approaches be coupled with data assimilation?
- The definition of second-order data assimilation methods for the design of optimal observation networks. The two main objectives are: management of combinations of sensor types, and deployment modes and dynamic management of mobile sensors' trajectories.
- How to estimate the emission rate of an accidental release of a pollutant, using observations and a dispersion model (from the near-field to the continental scale)? How to optimally predict the evolution of a plume? Hence, how to help people in charge of risk evaluation for the population?
- The definition of non-Gaussian approaches for data assimilation.
- The assimilation of satellite measurements of troposphere chemistry.

The activities of Clime in air quality are supported by the development of the Polyphemus air quality modeling system. This system has a modular design, which makes it easier to manage high level applications such as inverse modeling, data assimilation and ensemble forecast.

### 4.3. Oceanography

The capacity of performing a high quality forecast of the state of the ocean, from the regional to the global scales, is of major interest. Such a forecast can only be obtained by systematically coupling numerical models and observations (in situ and satellite data). In this context, being able to assimilate image structures becomes a key point. Examples of such image structures are:

- apparent motion field that represents surface velocity;
- trajectories, obtained either from tracking of features or from integration of the velocity field;
- spatial objects, such as fronts, eddies or filaments.

Image models of these structures are developed and take into account the underlying physical processes. Image acquisitions are assimilated into these models to derive pseudo-observations of state variables, which are further assimilated in numerical ocean forecast models.

### 4.4. Meteorology

Meteorological forecasting constitutes a major applicative challenge for image assimilation. Although satellite data are operationally assimilated within models, this is mainly done on an independent pixel basis: the observed radiance is linked to the state variables via a radiative transfer model, that plays the role of an observation operator. Indeed, because of their limited spatial and temporal resolutions, numerical weather forecast models fail to exploit image structures, such as precursors of high impact weather:

- cyclogenesis related to the intrusion of dry stratospheric air in the troposphere (a precursor of cyclones),
- convective systems (supercells) leading to heavy winter time storms,
- low-level temperature inversion leading to fog and ice formation, etc.

To date, there is no available method for assimilating such data, which are characterized by a strong coherence in space and time. Meteorologists have developed qualitative Conceptual Models (CMs), for describing the high impact weathers and their signature on images, and tools to detect CMs on image data. The result of this detection is used for correcting the numerical models, for instance by modifying the initialization. The aim is therefore to develop a methodological framework allowing to assimilate the detected CMs within numerical forecast models. This is a challenging issue given the considerable impact of the related meteorological events.

### 4.5. Smartcity

There is a growing interest for environmental problems at city scale, where a large part of the population is concentrated and where major pollutions can develop. Numerical simulation is well established to study the urban environment, e.g., for road traffic modeling. As part of the smartcity movement, an increasing number of sensors collect measurements, at traditional fixed observation stations, but also on mobile devices, like smartphones. A number of research issues can be raised:

- How to properly take into account the city geometry that makes the data assimilation problems unique?
- How to make use of the various sensors, sometimes mobile, of low quality but numerous?
- How to couple all the systems that are intricately at urban scale?

Practical applications include air pollution and noise pollution. These directly relate to road traffic. Data assimilation and uncertainty propagation are key topics in these applications.



## MAMBA Project-Team

# 4. Application Domains

## 4.1. Cancer modelling

**Evolution of healthy or cancer cell populations under environmental pressure; drug resistance.** Considering cancer as an *evolutionary disease*, evolution meaning here Darwinian evolution, but also Lamarckian instruction, of populations structured according to phenotypes relevant to describe their heterogeneity at stake in studies led in collaboration with our biologist partners within the Institut Universitaire de Cancérologie (IUC) of UPMC, we tackle the problem of understanding and limiting: a) the evolution from pre-malignancy to malignancy in cell populations (in particular we study early leukaemogenesis, leading to acute myeloid leukaemia), and b) in established cancer cell populations, the evolution towards drug-induced drug resistance. The environmental pressure guiding evolution has many sources, including signalling molecules induced by the peritumoral stroma (e.g., between a breast tumour and its adipocytic stroma), and anticancer drugs and their effects on both the tumour and its stromal environment. The models we use [63], [79], [80], [81] are close to models used in ecology for adaptive dynamics.

**Drugs: pharmacokinetics-pharmacodynamics, therapy optimisation.** We focus on multi-drug multi-targeted anticancer therapies aiming at finding combinations of drugs that theoretically minimise cancer cell population growth with the constraint of limiting unwanted toxic side effects under an absolute threshold (this is not  $L^2$  nor  $L^1$ , but  $L^\infty$  optimisation, i.e. the constraints as well as the objective function are  $L^\infty$ ) in healthy cell populations and avoiding the emergence of resistant cell clones in cancer cell populations [59], [80], [60], [79]. Prior to using optimisation methods, we design models of the targeted cell populations (healthy and tumour, including molecular or functional drug targets [58]) by PDEs or agent-based models [56], and molecular pharmacological (pharmacokinetic-pharmacodynamic, PK-PD) models of the fate and effects in the organism of the drugs used, usually by ODE models. A special aspect of such modelling is the representation of multi-cellular spatio-temporal patterns emerging from therapies.

**Multi-scale modelling of cancer invasion.** The major step from a benign tumour to an invasive cancer is the development step at which cells detach from the tumour mass and invade individually the surrounding tissue<sup>0</sup>. We performed *in vitro* simulations of cancer cell invasion for breast cancer evaluating under which conditions the observed migration pattern occurs. (In collaboration with our biologist partners within the Institut Curie)

## 4.2. Modelling and control in epidemiology

The spread of certain strains of the intracellular parasitic bacterium *Wolbachia* in populations of mosquitoes *Aedes aegypti* drastically reduces their competence as vector of dengue and other severe mosquito-borne viral diseases known as arboviral infections (chikungunya, Zika, yellow fever...). In absence of vaccine, or of preventive or curative treatment, the release of mosquitoes infected by this bacterium has been recently considered a promising tool to control these diseases.

Technically the situation can be described by a bistable model, and the issue consists in moving from a *Wolbachia*-free equilibrium to a fully contaminated equilibrium. Therefore mathematical modeling is of great interest for the study of the feasibility of the control of dengue fever using this strategy.

Key questions about this method concern the efficacy of the strategies used to release *Wolbachia*-infected mosquitoes in the field that can be applied successfully and with limited cost.

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<sup>0</sup>Weinberg, The biology of cancer, Garland, 2007

### 4.3. Protein polymerisation

Self-assembly of proteins into amyloid aggregates is an important biological phenomenon associated with various human neurodegenerative diseases such as Alzheimer's, Parkinson's, Prion (in particular variant Creutzfeldt-Jakob disease, epidemically linked to bovine spongiform encephalopathy, or so-called "mad cow", disease), Huntington's disease. Amyloid fibrils also have potential applications in nano-engineering of biomaterials.

However, the mechanisms of polymerisation are far from being quantitatively understood by biologists. They can be modelled with the help of coagulation-fragmentation equations, a field of expertise of MAMBA [16], [36], or with stochastic models [20]. One difficulty of this application is that the reactions imply both very small and very large scales for the sizes of polymers [7], experimental data giving only access to the time evolution of size-averaged quantities [6]. Moreover, there exists an intrinsic variability among experiments, which has to be distinguished from a lack of reproducibility [20].

The European starting grant SKIPPER<sup>AD</sup> involves a long-term collaboration with Human Rezaei's team, a biologist expert group in amyloid diseases at INRA Jouy-en-Josas. It allowed us to further develop new collaborations, in particular with Wei-Feng Xue's team in Canterbury, who is one of the rare biophysicists in this area who is able to measure not only size-averaged quantities, as for instance the time-evolution of the total polymerised mass, but also size distribution of polymers (at least over a certain threshold). Such measurements allow us to use much more powerful inverse problems and data assimilation methods [6].

Moreover, this field of applications to human neurodegenerative diseases brings us new questions [17], which is a stimulation for our mathematical research and at the same time allows us to provide biologists with a new and efficient tool.

### 4.4. Cell motion

Several processes are employed by cells to communicate, regulate and control their movements, and generate collective motion. Among them, chemotaxis is the phenomenon by which cells direct their active motion in response to an external chemical (or physical) agent. In chemotaxis, cells not only respond but can also produce the chemical agent, leading to a feedback loop. Understanding this phenomenon is a major challenge for describing the collective behaviour of cells. Many mathematical models have been proposed at different scales, yielding a good description of cell aggregation. In particular, mathematical models at macroscopic scale may be derived departing from kinetic description at mesoscopic scale. An interesting study at the numerical level is to provide numerical schemes able to treat both scales. Then in [27], we have proposed an asymptotic preserving scheme for a model describing the formation of networks of cells in tissues. In collaboration with biophysicists at Institut Curie in Paris, we develop and study <sup>0</sup> mathematical models based on kinetic equations for bacterial travelling waves in a microchannel. These models have shown a remarkable quantitative agreement with experimental observations. In [18], we extend this approach to study the behavior of the interaction between two populations of E. Coli. We show that in certain cases populations that travel with its own speed in the channel when separated, may synchronise their movements when put together.

Cell motion arises also in the growth of solid tumours, which can be described through cell population models or multiphase flows <sup>0</sup>. This is a very active subject because several bio-chemico-physical mechanisms are at work; for instance motion can arise from pressure forces resulting from cell divisions and from active cell motility. At the smaller scale stochastic agent-based models of tumour cells invading the tumour environment or blood vessels are considered <sup>0</sup>, and allow to represent detailed behaviours and interactions. At a larger scale, free boundary problems are widely used, e.g., for image-based prediction because of the reduced number of parameters <sup>0</sup>. Asymptotic analysis makes a link between these different mechanistic models [88]. One other

<sup>0</sup>N. Bournaveas, V. Calvez, S. Gutiérrez and B. Perthame, Global existence for a kinetic model of chemotaxis via dispersion and Strichartz estimates, *Comm. PDE*, 2008

<sup>0</sup>J. Ranft et al, Fluidisation of tissues by cell division and apoptosis, *PNAS*, 2010 and L. Preziosi and A. Tosin, Multiphase modelling of tumour growth and extracellular matrix interaction: mathematical tools and applications, *J. Math. Biol.*, 2009.

<sup>0</sup>I. Ramis-Conde et al., *J. Phys. Biol.*, 2009

<sup>0</sup>Works by O. Saut, T. Colin, A. Iollo, N. Ayache, J. Lowengrub

setting where we will study cell motion is epithelial gap closure, a form of collective cell migration that is a very widespread phenomenon both during development and adult life - it is essential for both the formation and for the maintenance of epithelial layers. Due to their importance, *in vivo* wound healing and morphogenetic movements involving closure of holes in epithelia have been the object of many studies. In our works [86], [90] we considered wound healing and epithelial gap closure in both *in vivo* (in particular drosophila pupa) and *in vitro* (MDCK cell and human keratinocytes). We found some similarities in the geometry dependence of the wound closure strategies between these two settings, indicating the existence of conserved mechanisms that should be widespread across living beings. In the 01365414 thesis of Telmo Pereira, some differences between the two settings are also studied.

## 4.5. Physics of tissue organisation

Many new insights in the last years indicate that migration, growth and division of cells are largely impacted by cell and tissue mechanics [0, 0, 0]. Centre-based growth models already account for many of the observed phenomena [0, 0]. They furthermore allow calculation of the stress tensor in the tissue. A critical shortcoming of centre-based models is that forces between cells are calculated based on pairwise interactions hence multi-cellular interactions leading to true cell compression cannot be taken into account.

In order to scope with this shortcoming we (1.) developed a strategy in which forces are calibrated with a high resolution agent based model (so called deformable cell model), so that stress in tissue can then be calculated also at high cell density [54]; (2.) integrated cell division in deformable cell models to permit direct simulations of phenomena with this model type; (3.) developed hybrid models permitting to simulate centre-based and deformable cell models in the same simulations to be able to reach sufficiently high cell numbers.

Deformable cell models [0] resolve cell surface at reasonable resolution, and allow to calculate cell deformation as function of stress emerging in the tissue, hence the stress tensor cannot only be resolved at the position of the cell centre, as in the case of centre-based models, but in this case at any point on the cell surface or inside the cell. The higher resolution causes much longer simulation times which is why currently simulation of large multi-cellular systems with deformable cell models on standard computers is not feasible.

## 4.6. Liver modelling

Liver is the main detoxifying organ of the human body and can regenerate up to about 70% of its mass. It performs its task by using a complex tissue architecture, with hepatocytes aligning along micro-capillaries and forming a dense network. The incidence rate of liver diseases is steadily increasing, liver cancer ranking 6th among all cancers. About one person in 12, otherwise said 500 million people worldwide, will suffer from viral hepatitis. Hepatitis B and C as well as misuse of drugs or alcohol are major causes of liver cancer. Notwithstanding the importance of this public health problem, disease pathogenesis and regeneration in liver are still not well understood.

So far systems biology approaches addressing the tissue scale are rare. Most of those which do so base on compartment models (e.g. [0]); only recently are approaches addressing the tissue scale being developed [76] [0, 0, 0, 0]. We have developed a multi-scale model of liver regeneration representing the tissue architecture, the different cell types, the flow systems, hepatocyte metabolism and signal transduction controlling cell cycle entrance in the regeneration processes, taking into account extrahepatic compartments when relevant. Applications are regeneration after drug-induced damage and after partial hepatectomy, drug pharmacodynamics and

<sup>0</sup>Ingber, Proc. Natl. Acad. Sci (USA), 2005

<sup>0</sup>Trepat et. al., Nat. Phys. 2009

<sup>0</sup>Alessandri et. al., Proc. Natl. Acad. Sci. (USA) 2013

<sup>0</sup>Drasdo and Hoehme, Phys. Biol. 2005

<sup>0</sup>Drasdo and Hoehme, New Journal of Physics 2012

<sup>0</sup>Odenthal, Smeets, van Liedekerke, et. al., PloS Comput Biol. 2013

<sup>0</sup>Diaz-Ochoa et. al. Frontiers in Pharmacology, 2013

<sup>0</sup>Ricken, Dahmen, Dirsch, Biomech. Model. Mechanobiol. 2010

<sup>0</sup>Debbaut et. al., J. Biomech. Eng. 2014

<sup>0</sup>Siggers, Leungchavphongse, Ho, Repetto, Biomech. Model. Mechanobiol. 2014

<sup>0</sup>Schwen et. al., PLoS Comput. Biol. 2014

pharmacokinetics in liver and liver cancer, and model-based prediction of in-vivo drug toxicity from in-vitro measurements<sup>0</sup>.

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<sup>0</sup>Godoy et al., *Arch Toxicol.* 2013 Aug;87(8):1315-1530

## MYCENAE Project-Team

# 4. Application Domains

## 4.1. Introduction

MYCENAE addresses rather “upstream” questions in neuroendocrinology and neuroscience. Nevertheless, MYCENAE’s expected results can contribute to more applied issues in these fields, mainly by helping understand the mechanisms underlying physiological and pathological processes and also by designing new concepts for biomedical data analysis. MYCENAE thematics are related to societal issues concerning endocrine disruptors, reproductive biotechnologies, and neurological diseases, especially in case of pathological synchronizations encountered in epilepsy and Parkinson’s disease.

## 4.2. Neuroendocrinology and Neuroscience

We are interested in the complex dynamical processes arising within neuroendocrine axes, with a special focus on the reproductive (hypothalamo-pituitary-gonadal) axis. This axis can be considered as the paragon of neuroendocrine axes, since it both concentrates all remarkable dynamics that can be exhibited by these axes and owns its unique specificities, as gonads are the only organs that host germ cells. Since, in neuroendocrine axes, neural systems are embedded within endocrine feedback loops and interact with peripheral organs, one also needs to get interested in the peripheral dynamics to be able to “close the loop” and account for the effect of peripheral inputs on neural dynamics. In the case of the HPG axis, these dynamics are especially complex, because they involve developmental processes that occur even in adult organisms and combine the glandular function of the gonads with their gametogenic function.

Neuroendocrinology is thus a scientific field at the interface between Neuroscience, Endocrinology and Physiology (and even of Developmental Biology in the case of the HPG axis). On a neuroscience ground, mathematical neuroendocrinology is specifically interested in endocrine neurons, which have the uncommon ability of secreting neurohormones into the blood stream. Neuroendocrine networks are characterized by the emergence of very slow rhythms (on the order of an hour), finite size effects due to their relative small number of neurons (on the order of a few thousands for the Gonadotropin-Releasing-Hormone network) and neuroanatomical particularities, that impact the way they can synchronize and desynchronize. On a physiological ground, gonadal cell biology raises specific cell biology issues on more than one account. First, the gonads are the only organs sheltering the germ cell lines (corresponding to oogenesis in ovaries and spermatogenesis in testes). Hence, the two modes of cell division, mitosis and meiosis are encountered in these tissues. Second, there are intricate interactions between the gonadal somatic cells (granulosa cells in the ovaries, sertoli cells in the testes) and the germ cells. Third, the control of gonadal cell populations is exerted within endocrine feedback loops involving both the hypothalamus and pituitary, which results naturally in multiscale population dynamics coupled with hormonally-controlled cell kinetics.

MYCENAE’s research topics in mathematical neuroscience deal with complex oscillations, synchronization and plasticity.

We study (i) the emergence of network-level behaviors from individual dynamics of excitable cells (mainly neurons, but not exclusively, as the pituitary cells belong to the family of excitable cells): complete synchronization or synchronization of specific events, effect of the recruitment rate in the synchronization process, dependence on the neuro-anatomical and functional coupling properties; (ii) the control of the different possible configurations of the network depending on external (e.g. daylength) and/or internal inputs (e.g. metabolic status), at the source of plasticity processes in cognitive (vision learning) or neuroendocrine systems (differential sensitivity to gonadal steroids and peptides across the different steps of the reproductive life); (iii) the encoding of neuro-hormonal signals as complex oscillations, on the electrical, ionic (calcium dynamics) and secretory levels; and (iv) the decoding of these signals by their target neuronal or non-neuronal cells.

More recently, we have been interested into developmental biology issues in neurosciences: neurogenesis and brain development. The anatomical and functional organization of the nervous system, and especially the brain, is highly structured and tightly regulated. The surface of the cortex, its thickness, but also the size and shape of the brain areas associated to the different sensory or motor areas are very reliable quantities across different individuals. In collaboration with different teams of biologists, we develop and investigate models of the development of the brain, at different time and spatial scale.

The biological relevance of our modeling and model-based signal analysis approaches is grounded on our network of collaborations with teams of experimentalist biologists. In particular, we have long standing collaborations with the UMR 6175 (INRA-CNRS-Université François Rabelais-Haras Nationaux) “Physiologie de la Reproduction et des Comportements” that covers most our research topics in reproductive neuroendocrinology. We have especially close links with the Bingo (Integrative Biology of the ovary) and Bios (Biology and Bioinformatics of Signaling Systems) teams, which were partners of the REGATE LSIA. We have been jointly investigating issues relative to terminal or basal follicular development [6], [7], analysis of neurosecretory patterns [15] and modeling of GPCR (G-Protein Coupled Receptors) signaling networks [9]. We also have special links with the Center for Interdisciplinary Research in Biology (CIRB, Collège de France), headed by Alain Prochiantz, that help us get a better understanding of how the brain connectivity develops and how it is functionally organized. An instance of a recent collaborative work is the study of the organization of spatial frequencies in the primary visual cortex [36].

## REO Project-Team

# 4. Application Domains

## 4.1. Blood flows

Cardiovascular diseases like atherosclerosis or aneurysms are a major cause of mortality. It is generally admitted that a better knowledge of local flow patterns could improve the treatment of these pathologies (although many other biophysical phenomena obviously take place in the development of such diseases). In particular, it has been known for years that the association of low wall shear stress and high oscillatory shear index give relevant indications to localize possible zones of atherosclerosis. It is also known that medical devices (graft or stent) perturb blood flows and may create local stresses favorable with atherogenesis. Numerical simulations of blood flows can give access to this local quantities and may therefore help to design new medical devices with less negative impacts. In the case of aneurysms, numerical simulations may help to predict possible zones of rupture and could therefore give a guide for treatment planning.

In clinical routine, many indices are used for diagnosis. For example, the size of a stenosis is estimated by a few measures of flow rate around the stenosis and by application of simple fluid mechanics rules. In some situations, for example in the case a sub-valvular stenosis, it is known that such indices often give false estimations. Numerical simulations may give indications to define new indices, simple enough to be used in clinical exams, but more precise than those currently used.

It is well-known that the arterial circulation and the heart (or more specifically the left ventricle) are strongly coupled. Modifications of arterial walls or blood flows may indeed affect the mechanical properties of the left ventricle. Numerical simulations of the arterial tree coupled to the heart model could shed light on this complex relationship.

One of the goals of the REO team is to provide various models and simulation tools of the cardiovascular system. The scaling of these models will be adapted to the application in mind: low resolution for modeling the global circulation, high resolution for modeling a small portion of vessel.

## 4.2. Respiratory tracts

Breathing, or “external” respiration (“internal” respiration corresponds to cellular respiration) involves gas transport through the respiratory tract with its visible ends, nose and mouth. Air streams then from the pharynx down to the trachea. Food and drink entry into the trachea is usually prevented by the larynx structure (epiglottis). The trachea extends from the neck into the thorax, where it divides into right and left main bronchi, which enter the corresponding lungs (the left being smaller to accommodate the heart). Inhaled air is then convected in the bronchus tree which ends in alveoli, where gaseous exchange occurs. Surfactant reduces the surface tension on the alveolus wall, allowing them to expand. Gaseous exchange relies on simple diffusion on a large surface area over a short path between the alveolus and the blood capillary under concentration gradients between alveolar air and blood. The lungs are divided into lobes (three on the right, two on the left) supplied by lobar bronchi. Each lobe of the lung is further divided into segments (ten segments of the right lung and eight of the left). Inhaled air contains dust and debris, which must be filtered, if possible, before they reach the alveoli. The tracheobronchial tree is lined by a layer of sticky mucus, secreted by the epithelium. Particles which hit the side wall of the tract are trapped in this mucus. Cilia on the epithelial cells move the mucous continually towards the nose and mouth.

Each lung is enclosed in a space bounded below by the diaphragm and laterally by the chest wall and the mediastinum. The air movement is achieved by alternately increasing and decreasing the chest pressure (and volume). When the airspace transmural pressure rises, air is sucked in. When it decreases, airspaces collapse and air is expelled. Each lung is surrounded by a pleural cavity, except at its hilum where the inner pleura give birth to the outer pleura. The pleural layers slide over each other. The tidal volume is nearly equal to 500 *ml*.

The lungs may fail to maintain an adequate supply of air. In premature infants surfactant is not yet active. Accidental inhalation of liquid or solid and airway infection may occur. Chronic obstructive lung diseases and lung cancers are frequent pathologies and among the three first death causes in France.

One of the goals of REO team in the ventilation field is to visualize the airways (virtual endoscopy) and simulate flow in image-based 3D models of the upper airways (nose, pharynx, larynx) and the first generations of the tracheobronchial tree (trachea is generation 0), whereas simple models of the small bronchi and alveoli are used (reduced-basis element method, fractal homogenization, multiphysics homogenization, lumped parameter models), in order to provide the flow distribution within the lung segments.

### **4.3. Cardiac electrophysiology**

The purpose is to simulate the propagation of the action potential in the heart. A lot of works has already been devoted to this topic in the literature (see *e.g.* [58], [62], [61] and the references therein), nevertheless there are only very few studies showing realistic electrocardiograms obtained from partial differential equations models. Our goal is to find a compromise between two opposite requirements: on the one hand, we want to use predictive models, and therefore models based on physiology, on the other hand, we want to use models simple enough to be parametrized (in view of patient-specific simulations). One of the goal is to use our ECG simulator to address the inverse problem of electrocardiology. In collaboration with the MACS/M3DISIM project-team, we are interested in the electromechanical coupling in the myocardium. We are also interested in various clinical and industrial issues related to cardiac electrophysiology, in particular the simulation of experimental measurement of the field potential of cardiac stem cells in multi-electrode arrays.



## SERENA Team

# 4. Application Domains

## 4.1. Environmental problems

We pursue *applications* of our theoretical results to current challenging *environmental problems* with numerous *academic collaborators* and with *industrial partners* such as **ANDRA**, **IFP Energies Nouvelles**, **CEA**, and **EDF**. We are traditionally interested in *porous media* for multiphase flows and transport of contaminants in the subsurface and concentrate on fractures, fracture networks, fractured porous media, subsurface depollution after chemical leakage, nuclear waste disposal in deep underground repositories, and geological sequestration of CO<sub>2</sub>. Among our newer themes, we count complex inviscid flows interacting with a mechanical deformable structure and Navier–Stokes flows. Such problems are encountered in energy production (operation of nuclear reactors) and safety assessment (shock waves resulting from an explosion impinging on a structure).

**TAPDANCE Team (section vide)**

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## ALPINES Project-Team

### 4. Application Domains

#### 4.1. Compositional multiphase Darcy flow in heterogeneous porous media

We study the simulation of compositional multiphase flow in porous media with different types of applications, and we focus in particular on reservoir/bassin modeling, and geological CO<sub>2</sub> underground storage. All these simulations are linearized using Newton approach, and at each time step and each Newton step, a linear system needs to be solved, which is the most expensive part of the simulation. This application leads to some of the difficult problems to be solved by iterative methods. This is because the linear systems arising in multiphase porous media flow simulations cumulate many difficulties. These systems are non-symmetric, involve several unknowns of different nature per grid cell, display strong or very strong heterogeneities and anisotropies, and change during the simulation. Many researchers focus on these simulations, and many innovative techniques for solving linear systems have been introduced while studying these simulations, as for example the nested factorization [Appleyard and Cheshire, 1983, SPE Symposium on Reservoir Simulation].

#### 4.2. Inverse problems

The research of F. Nataf on inverse problems is rather new since this activity was started from scratch in 2007. Since then, several papers were published in international journals and conference proceedings. All our numerical simulations were performed in FreeFem++.

We focus on methods related to time reversal techniques. Since the seminal paper by [M. Fink et al., Imaging through inhomogeneous media using time reversal mirrors. *Ultrasonic Imaging*, 13(2):199, 1991.], time reversal is a subject of very active research. The main idea is to take advantage of the reversibility of wave propagation phenomena such as it occurs in acoustics, elasticity or electromagnetism in a non-dissipative unknown medium to back-propagate signals to the sources that emitted them. Number of industrial applications have already been developed: touchscreen, medical imaging, non-destructive testing and underwater communications. The principle is to back-propagate signals to the sources that emitted them. The initial experiment, was to refocus, very precisely, a recorded signal after passing through a barrier consisting of randomly distributed metal rods. In [de Rosny and Fink. Overcoming the diffraction limit in wave physics using a time-reversal mirror and a novel acoustic sink. *Phys. Rev. Lett.*, 89 (12), 2002], the source that created the signal is time reversed in order to have a perfect time reversal experiment. Since then, numerous applications of this physical principle have been designed, see [Fink, Renversement du temps, ondes et innovation. Ed. Fayard, 2009] or for numerical experiments [Larmat et al., Time-reversal imaging of seismic sources and application to the great sumatra earthquake. *Geophys. Res. Lett.*, 33, 2006] and references therein.

#### 4.3. Numerical methods for wave propagation in multi-scale media

We are interested in the development of fast numerical methods for the simulation of electromagnetic waves in multi-scale situations where the geometry of the medium of propagation may be described through characteristic lengths that are, in some places, much smaller than the average wavelength. In this context, we propose to develop numerical algorithms that rely on simplified models obtained by means of asymptotic analysis applied to the problem under consideration.

Here we focus on situations involving boundary layers and *localized* singular perturbation problems where wave propagation takes place in media whose geometry or material characteristics are submitted to a small scale perturbation localized around a point, or a surface, or a line, but not distributed over a volumic sub-region of the propagation medium. Although a huge literature is already available for the study of localized singular perturbations and boundary layer phenomena, very few works have proposed efficient numerical methods that rely on asymptotic modeling. This is due to their natural functional framework that naturally involves singular functions, which are difficult to handle numerically. The aim of this part of our research is to develop and analyze numerical methods for singular perturbation methods that are prone to high order numerical approximation, and robust with respect to the small parameter characterizing the singular perturbation.

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## 4.4. Data analysis in astrophysics

We focus on computationally intensive numerical algorithms arising in the data analysis of current and forthcoming Cosmic Microwave Background (CMB) experiments in astrophysics. This application is studied in collaboration with researchers from University Paris Diderot, and the objective is to make available the algorithms to the astrophysics community, so that they can be used in large experiments.

In CMB data analysis, astrophysicists produce and analyze multi-frequency 2D images of the universe when it was 5% of its current age. The new generation of the CMB experiments observes the sky with thousands of detectors over many years, producing overwhelmingly large and complex data sets, which nearly double every year therefore following Moore's Law. Planck (<http://planck.esa.int/>) is a keystone satellite mission which has been developed under auspices of the European Space Agency (ESA). Planck has been surveying the sky since 2010, produces terabytes of data and requires 100 Petaflops per image analysis of the universe. It is predicted that future experiments will collect half petabyte of data, and will require 100 Exaflops per analysis as early as in 2020. This shows that data analysis in this area, as many other applications, will keep pushing the limit of available supercomputing power for the years to come.

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## DYOGENE Project-Team

## 4. Application Domains

### 4.1. Wireless Networks

Wireless networks can be efficiently modelled as dynamic stochastic geometric networks. Their analysis requires taking into account, in addition to their geometric structure, the specific nature of radio channels and their statistical properties which are often unknown a priori, as well as the interaction through interference of the various individual point-to-point links. Established results contribute in particular to the development of network dimensioning methods and some of them are currently used in Orange internal tools for network capacity calculations.

### 4.2. Embedded Networks

Critical real-time embedded systems (cars, aircrafts, spacecrafts) are nowadays made up of multiple computers communicating with each other. The real-time constraints typically associated with operating systems now extend to the networks of communication between sensors/actuators and computers, and between the computers themselves. Once a media is shared, the time between sending and receiving a message depends not only on technological constraints, but also, and mainly from the interactions between the different streams of data sharing the media. It is therefore necessary to have techniques to guarantee maximum network delays, in addition to local scheduling constraints, to ensure a correct global real-time behaviour to distributed applications/functions.

Moreover, pessimistic estimate may lead to an overdimensioning of the network, which involves extra weight and power consumption. In addition, these techniques must be scalable. In a modern aircraft, thousands of data streams share the network backbone. Therefore algorithm complexity should be at most polynomial.

### 4.3. Distributed Content Delivery Networks

A content distribution network (CDN) is a globally distributed network of proxy servers deployed in multiple data centers. The goal of a CDN is to serve content to end-users with high availability and high performance. CDNs serve a large fraction of the Internet content today, including web objects (text, graphics and scripts), downloadable objects (media files, software, documents), applications (e-commerce, portals), live streaming media, on-demand streaming media, and social networks.

A. Bouillard and F. Baccelli started a collaboration with Virag Shah (Postdoc at the Inria-Microsoft Saclay center) on the analysis of delays in data clusters. Their focus is on the way delays scale with the size of a request and on the way delays compare under different policies for coding, data dissemination, and delivery. A paper on the matter is submitted.

### 4.4. Probabilistic Algorithms for Renewable Integration in Smart Grids

Renewable energy sources such as wind and solar have a high degree of unpredictability and time variation, which makes balancing demand and supply challenging. There is an increased need for ancillary services to smooth the volatility of renewable power. In the absence of large, expensive batteries, we may have to increase our inventory of responsive fossil-fuel generators, negating the environmental benefits of renewable energy. The proposed approach addresses this challenge by harnessing the inherent flexibility in demand of many types of loads. The objective is to develop decentralized control for automated demand dispatch, that can be used by grid operators as ancillary service to regulate demand-supply balance at low cost. Our goal is to create the necessary ancillary services for the grid that are environmentally friendly, that have low cost and that do not impact the quality of service (QoS) for the consumers.

A challenge in residential communities is that many loads are either on or off. How can an on/off load track the continuously varying regulation signal broadcast by a grid operator? The answer proposed in our recent work is based on probabilistic algorithms: A single load cannot track a regulation signal such as the balancing reserves. A collection of loads can, provided they are equipped with local control. The value of probabilistic algorithms is that a) they can be designed with minimal communication, b) they avoid synchronization of load responses, and c) it is shown in our recent work that they can be designed to simplify control at the grid level (see the survey [31] and [54], [39]).

This research is developed within the Inria Associate Team PARIS.

## **4.5. Algorithms for Finding Communities**

In the study of complex networks, a network is said to have community structure if the nodes of the network can be easily grouped into (potentially overlapping) sets of nodes such that each set of nodes is densely connected internally. Community structures are quite common in real networks. Social networks include community groups (the origin of the term, in fact) based on common location, interests, occupation, etc. Metabolic networks have communities based on functional groupings. Citation networks form communities by research topic. Being able to identify these sub-structures within a network can provide insight into how network function and topology affect each other. We propose several algorithms for this problem and extensions [50], [58], [32], [59]

## **4.6. Mean-Field Limits for Queuing Networks with Node Motion**

The work with S. Rybko, S. Vladimirov (IPIT, Moscow) and S. Shlosman (CNRS Marseille) which started through some funding from CNRS and which led to several visits of S. Rybko and S. Vladimirov in Paris led to a series of research projects on queuing theory. The first one, on mean-fields for networks with node motion [5] was published in 2016; cf. Section 7.3 .

## EVA Project-Team

# 4. Application Domains

## 4.1. Generalities

Wireless networks have become ubiquitous and are an integral part of our daily lives. These networks are present in many application domains; the most important are detailed in this section.

## 4.2. Industrial Process Automation

Networks in industrial process automation typically perform **monitoring and control** tasks. Wired industrial communication networks, such as HART<sup>0</sup>, have been around for decades and, being wired, are highly reliable. Network administrators tempted to “go wireless” expect the same reliability. Reliable process automation networks – especially when used for control – often impose stringent latency requirements. Deterministic wireless networks can be used in critical systems such as control loops, however, the unreliable nature of the wireless medium, coupled with their large scale and “ad-hoc” nature raise some of the most important challenges for low-power wireless research over the next 5-10 years.

Through the involvement of team members in standardization activities, the protocols and techniques will be proposed for the standardization process with a view to becoming the *de-facto* standard for wireless industrial process automation. Besides producing top level research publications and standardization activities, EVA intends this activity to foster further collaborations with industrial partners.

## 4.3. Environmental Monitoring

Today, outdoor WSNs are used to monitor vast rural or semi-rural areas and may be used to detect fires. Another example is detecting fires in outdoor fuel depots, where the delivery of alarm messages to a monitoring station in an upper-bounded time is of prime importance. Other applications consist in monitoring the snow melting process in mountains, tracking the quality of water in cities, registering the height of water in pipes to foresee flooding, etc. These applications lead to a vast number of technical issues: deployment strategies to ensure suitable coverage and good network connectivity, energy efficiency, reliability and latency, etc.

We will work on such applications in an associate team “REALMS” comprising members from EVA, the university of Berkeley and the university of Michigan.

## 4.4. The Internet of Things

The general agreement is that the Internet of Things (IoT) is composed of small, often battery-powered objects which measure and interact with the physical world, and encompasses smart home applications, wearables, smart city and smart plant applications.

The Internet of Things (IoT) has received continuous attention since 2013, and has been a marketing tool for industry giants such as IBM and Cisco, and the focal point of major events such the Consumer Electronics Show and the IETF. The danger of such exposure is that any under-performance may ultimately disappoint early adopters.

It is absolutely essential to (1) clearly understand the limits and capabilities of the IoT, and (2) develop technologies which enable user expectation to be met.

With the general public becoming increasingly familiar with the term “Internet of Things”, its definition is broadening to include all devices which can be interacted with from a network, and which do not fall under the generic term of “computer”.

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<sup>0</sup>Highway Addressable Remote Transducer, <http://en.hartcomm.org/>.

The EVA team is dedicated to understanding and contributing to the IoT. In particular, the team will maintain a good understanding of the different technologies at play (Bluetooth, IEEE 802.15.4, WiFi, cellular), and their trade-offs. Through scientific publications and other contributions, EVA will help establish which technology best fits which application.

## **4.5. Military, Energy and Aerospace**

Through the HIPERCOM project, EVA has developed cutting-edge expertise in using wireless networks for military, energy and aerospace applications. Wireless networks are a key enabling technology in the application domains, as they allow physical processes to be instrumented (e.g. the structural health of an airplane) at a granularity not achievable by its wired counterpart. Using wireless technology in these domains does however raise many technical challenges, including end-to-end latency, energy-efficiency, reliability and Quality of Service (QoS). Mobility is often an additional constraint in energy and military applications. Achieving scalability is of paramount importance for tactical military networks, and, albeit to a lesser degree, for power plants. EVA will work in this domain.

## **4.6. Smart Cities**

It has been estimated that by 2030, 60% of the world's population will live in cities. On the one hand, smart cities aim at making everyday life more attractive and pleasant for citizens; on the other hand, they facilitate how those citizens can participate in the life of the city.

Smart cities share the constraint of mobility (both pedestrian and vehicular) with tactical military networks. Vehicular Ad-hoc NETWORKS (VANETs) will play an important role in the development of smarter cities.

The coexistence of different networks operating in the same radio spectrum can cause interference that should be avoided. Cognitive radio provides secondary users with the frequency channels that are temporarily unused (or unassigned) by primary users. Such opportunistic behavior can also be applied to urban wireless sensor networks. Smart cities raise the problem of transmitting, gathering, processing and storing big data. Another issue is to provide the right information at the place where it is most needed.

## **4.7. Emergency Applications**

In an "emergency" application, heterogeneous nodes of a wireless network cooperate to recover from a disruptive event in a timely fashion, thereby possibly saving human lives. These wireless networks can be rapidly deployed and are useful to assess damage and take initial decisions. Their primary goal is to maintain connectivity with the humans or mobile robots (possibly in a hostile environment) in charge of network deployment. The deployment should ensure the coverage of particular points or areas of interest. The wireless network has to cope with pedestrian mobility and robot/vehicle mobility. The environment, initially unknown, is progressively discovered and may contain numerous obstacles that should be avoided. The nodes of the wireless network are usually battery-powered. Since they are placed by a robot or a human, their weight is very limited. The protocols supported by these nodes should be energy-efficient to maximize network lifetime. In such a challenging environment, sensor nodes should be replaced before their batteries are depleted. It is therefore important to be able to accurately determine the battery lifetime of these nodes, enabling predictive maintenance.



## **GANG Project-Team**

# **4. Application Domains**

## **4.1. Large scale networks**

Application domains include evaluating Internet performances, the design of new peer-to-peer applications, enabling large scale networks, and developing tools for transportation networks.

## MIMOVE Team

# 4. Application Domains

## 4.1. Mobile urban systems for smarter cities

With the massive scale adoption of mobile devices and further expected significant growth in relation with the Internet of Things, mobile computing is impacting most – if not all – the ICT application domains. However, given the importance of conducting empirical studies to assess and nurture our research, we focus on one application area that is the one of "*smart cities*". The smart city vision anticipates that the whole urban space, including buildings, power lines, gas lines, roadways, transport networks, and cell phones, can all be wired together and monitored. Detailed information about the functioning of the city then becomes available to both city dwellers and businesses, thus enabling better understanding and consequently management of the city's infrastructure and resources. This raises the prospect that cities will become more sustainable environments, ultimately enhancing the citizens' well being. There is the further promise of enabling radically new ways of living in, regulating, operating and managing cities, through the increasing active involvement of citizens by ways of crowd-sourcing/sensing and social networking.

Still, the vision of what smart cities should be about is evolving at a fast pace in close concert with the latest technology trends. It is notably worth highlighting how mobile and social network use has reignited citizen engagement, thereby opening new perspectives for smart cities beyond data analytics that have been initially one of the core foci for smart cities technologies. Similarly, open data programs foster the engagement of citizens in the city operation and overall contribute to make our cities more sustainable. The unprecedented democratization of urban data fueled by open data channels, social networks and crowd sourcing enables not only the monitoring of the activities of the city but also the assessment of their nuisances based on their impact on the citizens, thereby prompting social and political actions. However, the comprehensive integration of urban data sources for the sake of sustainability remains largely unexplored. This is an application domain that we intend to focus on, further leveraging our research on emergent mobile distributed systems, large-scale mobile sensing & actuation, and mobile social crowd-sensing.

In a first step, we concentrate on the following specialized applications, which we investigate in close collaboration with other researchers, in particular as part of the dedicated Inria Project Lab *CityLab@Inria*:

- **Democratization of urban data for healthy cities.** The objective here is to integrate the various urban data sources, especially by way of crowd-Xing, to better understand city nuisances from raw pollution sensing (e.g., sensing noise) to the sensing of its impact on citizens (e.g., how people react to urban noise and how this affects their health).
- **Socially-aware urban mobility.** Mobility within mega-cities is known as one of the major challenges to face urgently due to the fact that today's mobility patterns do not scale and to the negative effect on the environment and health. It is our belief that mobile social and physical sensing may significantly help in promoting the use of public transport, which we have started to investigate through empirical study based on the development and release of dedicated apps.
- **Social applications.** Mobile applications are being considered by sociologists as a major vehicle to actively involve citizens and thereby prompt them to become activists. This is especially studied with the Social Apps Lab at UC Berkeley. Our objective is to study such a vehicle from the ICT perspective and in particular elicit relevant middleware solutions to ease the development and development of such "*civic apps*".

Acknowledging the need for collaborative research in the application domain of smart cities, MiMove is heavily involved and actually leading CityLab@Inria <sup>0</sup>. The Inria Project Lab CityLab is focused on the study of ICT solutions promoting social sustainability in smart cities, and involves the following Inria project-teams in addition to MiMove: CLIME, DICE, FUN, MYRIADS, SMIS, URBANET and WILLOW. CityLab further involves strong collaboration with California universities affiliated with CITRIS (Center for Information Technology Research in the Interest of Society) and especially UC Berkeley, in relation with the *Inria@SiliconValley* program. We note that Valérie Issarny acts as scientific manager of Inria@SiliconValley and is currently visiting scholar at CITRIS at UC Berkeley. In this context, MiMove researchers are working closely with colleagues of UC Berkeley, including researchers from various disciplines interested in smart cities (most notably sociologists).

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<sup>0</sup><http://citylab.inria.fr>

## MUSE Team

# 4. Application Domains

## 4.1. Home Network Diagnosis

With the availability of cheap broadband connectivity, Internet access from the home has become a ubiquity. Modern households host a multitude of networked devices, ranging from personal devices such as laptops and smartphones to printers and media centers. These devices connect among themselves and to the Internet via a local-area network—a *home network*—that has become an important part of the “Internet experience”. In fact, ample anecdotal evidence suggests that the home network can cause a wide array of connectivity impediments, but their nature, prevalence, and significance remain largely unstudied.

Our long-term goal is to assist users with concrete indicators of the causes of potential problems and—ideally—ways to fix them. We intend to develop a set of easy-to-use home network diagnosis tools that can reliably identify performance and functionality shortcomings rooted in the home. The development of home network diagnosis tools brings a number of challenges. First, home networks are heterogeneous. The set of devices, configurations, and applications in home networks vary significantly from one home to another. We must develop sophisticated techniques that can learn and adapt to any home network as well as to the level of expertise of the user. Second, there are numerous ways in which applications can fail or experience poor performance in home networks. Often there are a number of explanations for a given symptom. We must devise techniques that can identify the most likely cause(s) for a given problem from a set of possible causes. Third, even if we can identify the cause of the problem, we must then be able to identify a solution. It is important that the output of the diagnosis tools we build is “actionable”. Users should understand the output and know what to do.

We are conceiving methods for two application scenarios: (i) when the end user in the home deploys our diagnostic tools either on the home gateway (the gateway often combines a DSL/cable modem and an access point; it connects the home network to the ISP) or on devices connected to the home network and (ii) when ISPs collect measurements from homes of subscribers and then correlate these measurements to help identify problems.

**Assisting end users.** We are developing algorithms to determine whether network performance problems lie inside or outside the home network. Given that the home gateway connects the home with the rest of the Internet, we are designing an algorithm (called *HoA*) that analyzes traffic that traverses the gateway to distinguish access link and home network bottlenecks. A measurement vantage point on the gateway is key for determining if the performance bottleneck lies within the home network or the access ISP, but we also need to deploy diagnosis tools in end-devices. First, some users may not want (or not know how) to deploy a new home gateway in their homes. Second, some problems will be hard to diagnose with only the vantage point of the gateway (for example, when a device cannot send traffic or when the wireless is poor in certain locations of a home). We can obtain more complete visibility by leveraging *multiple* measurement nodes around the home, potentially including the home gateway, all participating jointly in the measurement task. We have an ongoing project to realize a home network analyzer as a web-based measurement application built on top of our team’s recently developed browser-based measurement platform, *Fathom*. To integrate the home gateway in the analyzer, we plan to engage the BISmark Project. BISmark already provides a web server as well as extensive configurability, allowing us to experiment freely with both passive as well as active measurements. We must develop a home network analyzer that can first discover the set of devices connected to the home network that can collaborate on the diagnosis task. We will then develop tomography algorithms to infer where performance problems lie given measurements taken from the set of available vantage points.

**Assisting Internet Service Providers (ISPs).** Our discussions with several large access ISPs reveal that service calls are costly, ranging from \$9–25 per call, and as many as 75% of service calls from customers are usually caused by problems that have nothing to do with the ISP. Therefore, ISPs are eager to deploy techniques to assist in home network diagnosis. In many countries ISPs control the home gateway and set-top-boxes in the home. We plan to develop more efficient mechanisms for home users to report trouble to their home ISP and consequently reduce the cost of service calls. This project is in collaboration with Technicolor and Portugal Telecom. Technicolor is a large manufacturer of home gateways and set-top-boxes. Portugal Telecom is the largest broadband access provider in Portugal. Technicolor already collects data from 200 homes in Portugal. We are working with the data collected in this deployment together with controlled experiments to develop methods to diagnose problems in the home wireless.

## 4.2. Quality of Experience

An increasing number of residential users consume online services (e.g., VoD, Web browsing, or Skype) in their everyday activities (e.g., for education or entertainment purposes), using a variety of devices (e.g., tablets, smartphones, laptops). A high Quality of Service (QoS) is essential for sustaining the revenue of service providers, carriers, and device manufactures. Yet, the perceived Quality of Experience (QoE) of users is far from perfect e.g., videos that get stalled or that take a long time to load. Dissatisfied users may change Internet Service Providers (ISPs) or the online services. Hence, the incentives for measuring and improving QoE in home networks are high while mapping network and application QoS to QoE is a challenging problem. In this work we have focused in measuring several network Quality-of-Service (QoS) metrics, such as latency and bandwidth, both in residential Wi-Fi as well as broadband networks, homes are using for connecting to the Internet.

**The WiFi Context.** Residential Wi-Fi performance, however, is highly variable. Competing Wi-Fi networks can cause contention and interference while poor channel conditions between the station and the access point (AP) can cause frame losses and low bandwidth. In some cases, the home Wi-Fi network can bottleneck Internet access. While problems in the Wi-Fi network may affect several network QoS metrics, users will typically only notice a problem when poor Wi-Fi affects the QoE of Internet applications. For example, a Wi-Fi network with low bandwidth may go unnoticed unless the time to load Web pages increases significantly. A user observing degraded QoE due to Wi-Fi problems may mistakenly assume there is a problem with the Internet Service Provider (ISP) network. Our discussions with residential ISPs confirm that often customers call to complain about problems in the home Wi-Fi and not the ISP network.

Prior work has focused on QoS metrics for some applications (e.g., on-line video, Web browsing, or Skype) with no attempt to identify when Wi-Fi quality affects QoE. We are particularly interested in assisting ISPs to predict when home Wi-Fi quality degrades QoE. ISPs can use this system to detect customers experiencing poor QoE to proactively trigger Wi-Fi troubleshooting. ISPs often control the home AP, so we leverage Wi-Fi metrics that are available on commercial APs. Detecting when Wi-Fi quality degrades QoE using these metrics is challenging. First, we have no information about the applications customers are running at any given time. ISPs avoid capturing per-packet traffic traces from customers, because of privacy considerations and the overload of per-packet capture. Thus, we must estimate the effect of Wi-Fi quality on QoE of popular applications, which most customers are likely to run. In this context, we study Web as a proof of concept, as a large fraction of home traffic corresponds to Web. Second, application QoE may be degraded by factors other than the Wi-Fi quality (e.g., poor Internet performance or an overloaded server). Although a general system to explain any QoE degradation would be extremely helpful, our monitoring at the AP prevents us from having the end-to-end view necessary for such general task. Instead, we focus on identifying when Wi-Fi quality degrades QoE. Finally, Wi-Fi metrics available in APs are coarse aggregates such as the average PHY rate or the fraction of busy times. It is open how to effectively map these coarse metrics into QoE.

**Predicting QoE.** Clearly, different actors in the online service chain (e.g., video streaming services, ISPs) have different incentives and means to measure and affect the user QoE. Uncovering statistically equivalent subsets of QoS metrics across and within levels provides actionable knowledge for building QoE predictors. To achieve this goal, we leverage recent advances on feature selection algorithms to exploit available experimental

evidence of the joint probability distributions of QoE/QoS metrics. This type of statistical reasoning will enable us to determine local causal relationships between a target QoE variable, seen as effect, and multiple QoS metrics across or within levels, seen as causes. Such data-driven analysis is justified by the multiplicity of dependencies that exist between network or application QoS metrics as different adaptation mechanisms (e.g., TCP congestion avoidance, HTTP bitrate adaptation) are activated at each level in real life. Building optimal predictors based on (eventually several) probabilistically minimal subsets of features opens the way for a principled comparison of the predictors.

### 4.3. Data Analytics for the Internet of Things

The Internet of Things (IoT) is rapidly transforming the physical world into a large scale information system. A wave of smart "things" smoothly disappear in our environment (aka *Pervasive Computing*), or be embodied in humans (aka *Wearable Computing*, and continuously produce valuable information regarding almost every living context and process. *Making sense of the data streams "things" produce and share* is crucial for disruptive IoT applications. From smart devices and homes, to smart roads and cities, IoT data analytics is expected to enable a resource-conscious automation of our everyday life in terms of operational efficiency, security, safety as well as of a lower energy footprint.

**Multi-dimensional Usage Patterns.** We have initially investigated how data analytics for Machine-to-Machine (M2M) data (connectivity, performance, usage) produced by connected devices in residential Intranet of Things, could support novel *home automation services* that enrich the living experience in smart homes. We have investigated new data mining techniques that go beyond binary association rule mining for traditional market basket analysis, considered by previous works. We design a multidimensional pattern mining framework, which collects raw data from operational home gateways, it discretizes and annotates the raw data, it produces traffic usage logs which are fed in a multidimensional association rule miner, and finally it extracts home residents habits. Using our analysis engine, we extract complex device co-usage patterns of 201 residential broadband users of an ISP, subscribed to a n-play service. Such fine-grained device usage patterns provide valuable insights for emerging use cases, such as adaptive usage of home devices (aka horizontal integration of things). Such use cases fall within the wider area of human-cognizant Machine-to-Machine communication aiming to predict user needs and complete tasks without users initiating the action or interfering with the service. While this is not a new concept, according to Gartner cognizant computing is a natural evolution of a world driven not by devices but collections of applications and services that span across multiple devices, in which human intervention becomes as little as possible, by analyzing past human habits. To realize this vision, we are interested in co-usage patterns featuring spatio-temporal information regarding the context under which devices have been actually used in homes. For example, a network extender which is currently turned off, could be turned on at a certain day period (e.g., evening) when it has been observed to be highly used along with other devices (e.g., a laptop or a tablet). Alternatively, the identification of frequent co-usage of particular devices at a home (say iPhone with media player), could be used by a things recommender to advertise the same set of devices at another home (say another iPhone user could be interested in a media player).

**Time Series Motif.** Furthermore, we are interested in extracting previously unknown recurring patterns (aka motifs) directly from traffic time series reported by residential gateways. Such motifs could help ISPs to reduce the cost for *servicing and diagnosing remotely home networks*, or even help assist in *defining home-specific bandwidth sharing and prioritization policies*. More precisely, traffic motifs enriched with detailed home device information is a valuable input for root cause diagnosis and can be contrasted to the trouble description reported by users to the ISP. Moreover, in their majority, ISPs typically broadcast firmware and software updates to all gateways at nights (some operators even on a daily basis). This may cause service outages, given that some gateways may exhibit an active network usage during night time. A fine-grained temporal characterization of residential bandwidth consumption will enable ISPs to differentiate RGWs firmware update policies according to the least cumbersome time window per home, thus, improving the overall QoE of residential users. Finally, home network resources (bandwidth) are shared not only among residents using an increasing number of on-line applications (e.g., social networking, gaming, uploading/downloading, etc.) and real time services (TV on-demand, teleconferencing), but also with guests, neighbors, or even the

occasional passes by. Existing methods for bandwidth sharing and traffic prioritization are static and coarse. ISPs usually allocate a fixed percentage of home bandwidth to non-residential users, while traffic prioritization in commodity gateways is at best based on the network port on which traffic is sent or received. We believe that behavioural patterns extracted by gateway traffic time series can be used to support dynamic policies for sharing home bandwidth that consider the online habits of residential users. For example, in-home traffic congestion can be avoided by ordering the traffic patterns of different devices observed especially during afternoon and weekends. These patterns reveal the bandwidth consumption behavior of different groups of residential users (adults and children employ different devices during the same time-slots) while the comparison of traffic domination help us to distinguish between residents and guests (pattern-specific vs global traffic dominant devices).

#### 4.4. Crowd-sourced Information Filtering and Summarization

With the explosion of the People-centric Web, there is a proliferation of crowd-sourced content either under the form of qualitative reviews (mainly textual) and quantitative ratings (as 5 star ratings) regarding diverse products or services or under the form of various "real-time" feedback events (e.g., re-tweets, replies, likes, clicks, etc.) on published web content (ranging from traditional news, TV series, and movies to specialized blogs and posts shared over social networks). Such content captures the wisdom of the crowd and is valuable information source for building collaborative filtering systems and text summarization tools coping with information overload. For example, they can assist users to pick the most interesting web pages (e.g. Delicious) or to choose which movie to watch next (e.g. Netflix).

**Implicit Feedback in Communities of a Place.** We are initially interested in addressing one of the main limitation of collaborative filtering systems namely, the strong user engagement required to provide the necessary input (e.g., regarding their friends, tags or sites of preference) which is usual platform specific (i.e., for a particular social network, tagging, or bookmark system). The lack of user engagement translates into cold start and data sparsity. To cope with this limitation, we are developing a system called WeBrowse that passively observes network traffic to extract user clicks (i.e., the URLs users visit) for group of people who live, study, or work in the same place. Examples of such communities of a place are: (i) the students of a campus, (ii) the people living in a neighbourhood or (iii) researchers working in the same site. WeBrowse then promotes the hottest and most popular content to the community members sharing common interests.

**Personalized Review Summarization.** Finally, we are interested in helping people to take informed decisions regarding their shopping or entertainment activities. The automated summarization of a review corpus (for example, movie reviews from Rotten Tomatoes or IMDB; or restaurant reviews from Yelp) aims to assist people to form an opinion regarding a product/service of interest, by producing a coherent summary that is helpful and can be easily assimilated by humans. We are working on review summarisation methods that combine both objective (i.e., related to the review corpus) and subjective (i.e., related to the end-user interests) interestingness criteria of the produced reviews. In this respect we are exploiting domain models (e.g., Oscar's merit categories for movies) to elicit user preferences and mine the aspects of products/services actually commented in the textual sentences of reviews. For example, different summaries should be produced when a user is more interested in the actors performance rather than the movie story. We are particularly interested in extracting automatically the signatures of aspects (based on a set of seed terms) and rank review sentences on their importance and relevance w.r.t. the aspects they comment. Last but not least we are optimizing the automatically constructed summary w.r.t. to a number of criteria such as the number of the length of included sentences from the original reviews, the polarity of sentiments in the described aspects, etc.

**RAP Project-Team (section vide)**



**REGAL Project-Team (section vide)**

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## WHISPER Project-Team

# 4. Application Domains

## 4.1. Linux

Linux is an open-source operating system that is used in settings ranging from embedded systems to supercomputers. The most recent release of the Linux kernel, v4.9, comprises over 14 million lines of code, and supports 31 different families of CPU architectures, 73 file systems, and thousands of device drivers. Linux is also in a rapid stage of development, with new versions being released roughly every 2.5 months. Recent versions have each incorporated around 13,500 commits, from around 1500 developers. These developers have a wide range of expertise, with some providing hundreds of patches per release, while others have contributed only one. Overall, the Linux kernel is critical software, but software in which the quality of the developed source code is highly variable. These features, combined with the fact that the Linux community is open to contributions and to the use of tools, make the Linux kernel an attractive target for software researchers. Tools that result from research can be directly integrated into the development of real software, where it can have a high, visible impact.

Starting from the work of Engler et al. [40], numerous research tools have been applied to the Linux kernel, typically for finding bugs [39], [56], [69], [80] or for computing software metrics [46], [85]. In our work, we have studied generic C bugs in Linux code [9], bugs in function protocol usage [50], [51], issues related to the processing of bug reports [73] and crash dumps [45], and the problem of backporting [68], illustrating the variety of issues that can be explored on this code base. Unique among research groups working in this area, we have furthermore developed numerous contacts in the Linux developer community. These contacts provide insights into the problems actually faced by developers and serve as a means of validating the practical relevance of our work. Section 6.3 presents our dissemination efforts to the Linux community.

## 4.2. Device Drivers

Device drivers are essential to modern computing, to provide applications with access, via the operating system, to physical devices such as keyboards, disks, networks, and cameras. Development of new computing paradigms, such as the internet of things, is hampered because device driver development is challenging and error-prone, requiring a high level of expertise in both the targeted OS and the specific device. Furthermore, implementing just one driver is often not sufficient; today's computing landscape is characterized by a number of OSes, *e.g.*, Linux, Windows, MacOS, BSD and many real time OSes, and each is found in a wide range of variants and versions. All of these factors make the development, porting, backporting, and maintenance of device drivers a critical problem for device manufacturers, industry that requires specific devices, and even for ordinary users.

The last fifteen years have seen a number of approaches directed towards easing device driver development. Réveillère, who was supervised by G. Muller, proposes Devil [7], a domain-specific language for describing the low-level interface of a device. Chipounov *et al.* propose RevNic, [31] a template-based approach for porting device drivers from one OS to another. Ryzhyk *et al.* propose Termite, [70], [71] an approach for synthesizing device driver code from a specification of an OS and a device. Currently, these approaches have been successfully applied to only a small number of toy drivers. Indeed, Kadav and Swift [47] observe that these approaches make assumptions that are not satisfied by many drivers; for example, the assumption that a driver involves little computation other than the direct interaction between the OS and the device. At the same time, a number of tools have been developed for finding bugs in driver code. These tools include SDV [21], Coverity [40], CP-Miner, [55] PR-Miner [56], and Coccinelle [8]. These approaches, however, focus on analyzing existing code, and do not provide guidelines on structuring drivers.

In summary, there is still a need for a methodology that first helps the developer understand the software architecture of drivers for commonly used operating systems, and then provides tools for the maintenance of existing drivers.

**ALPAGE Project-Team (section vide)**

## **RITS Project-Team**

# **4. Application Domains**

## **4.1. Introduction**

While the preceding section focused on methodology, in connection with automated guided vehicles, it should be stressed that the evolution of the problems which we deal with, remains often guided by the technological developments. We enumerate three fields of application, whose relative importance varies with time and which have strong mutual dependencies: driving assistance, cars available in self-service mode and fully automated vehicles (cybercars).

## **4.2. Driving assistance**

Several techniques will soon help drivers. One of the first immediate goal is to improve security by alerting the driver when some potentially dangerous or dangerous situations arise, i.e. collision warning systems or lane tracking could help a bus driver and surrounding vehicle drivers to more efficiently operate their vehicles. Human factors issues could be addressed to control the driver workload based on additional information processing requirements. Another issue is to optimize individual journeys. This means developing software for calculating optimal (for the user or for the community) paths. Nowadays, path planning software is based on a static view of the traffic: efforts have to be done to take the dynamic component in account.

## **4.3. New transportation systems**

The problems related to the abusive use of the individual car in large cities led the populations and the political leaders to support the development of public transport. A demand exists for a transport of people and goods which associates quality of service, environmental protection and access to the greatest number. Thus the tram and the light subways of VAL type recently introduced into several cities in France conquered the populations, in spite of high financial costs. However, these means of mass transportation are only possible on lines on which there is a keen demand. As soon as one moves away from these “lines of desire” or when one deviates from the rush hours, these modes become expensive and offer can thus only be limited in space and time. To give a more flexible offer, it is necessary to plan more individual modes which approach the car as we know it. However, if one wants to enjoy the benefits of the individual car without suffering from their disadvantages, it is necessary to try to match several criteria: availability anywhere and anytime to all, lower air and soils pollution as well as sound levels, reduced ground space occupation, security, low cost. Electric or gas vehicles available in self-service, as in the Praxitèle system, bring a first response to these criteria. To be able to still better meet the needs, it is however necessary to re-examine the design of the vehicles on the following points:

- ease empty car moves to better distribute them;
- better use of information systems inboard and on ground;
- better integrate this system in the global transportation system.

These systems are now operating (i.e. in La Rochelle). The challenge is to bring them to an industrial phase by transferring technologies to these still experimental projects.

#### **4.4. Automated vehicles**

The long term effort of the project is to put automatically guided vehicles (cybercars) on the road. It seems too early to mix cybercars and traditional vehicles, but data processing and automation now make it possible to consider in the relatively short term the development of such vehicles and the adapted infrastructures. RITS aims at using these technologies on experimental platforms (vehicles and infrastructures) to accelerate the technology transfer and to innovate in this field. Other application can be precision docking systems that will allow buses to be automatically maneuvered into a loading zone or maintenance area, allowing easier access for passengers, or more efficient maintenance operations. Transit operating costs will also be reduced through decreased maintenance costs and less damage to the braking and steering systems. Regarding technical topics, several aspects of Cybercars have been developed at RITS this year. First, we have stabilized a generic Cycab architecture involving Inria SynDEx tool and CAN communications. The critical part of the vehicle is using a real-time SynDEx application controlling the actuators via two Motorola's MPC555. Today, we have decided to migrate to the new dsPIC architecture for more efficiency and ease of use. This application has a second feature, it can receive commands from an external source (Asynchronously to this time) on a second CAN bus. This external source can be a PC or a dedicated CPU, we call it high level. To work on the high level, in the past years we have been developing a R&D framework called (Taxi) which used to take control of the vehicle (Cycab and Yamaha) and process data such as gyro, GPS, cameras, wireless communications and so on. Today, in order to rely on a professional and maintained solution, we have chosen to migrate to the RTMaps SDK development platform. Today, all our developments and demonstrations are using this efficient prototyping platform. Thanks to RTMaps we have been able to do all the demonstrations on our cybercars: cycabs, Yamaha AGV and new Cybus platforms. These demonstrations include: reliable SLAMMOT algorithm using 2 to 4 laser sensors simultaneously, automatic line/road following techniques, PDA remote control, multi sensors data fusion, collaborative perception via ad-hoc network. The second main topic is inter-vehicle communications using ad-hoc networks. We have worked with the EVA team for setting and tuning OLSR, a dynamic routing protocol for vehicles communications. Our goal is to develop a vehicle dedicated communication software suite, running on a specialized hardware. It can be linked also with the Taxi Framework for getting data such GPS information's to help the routing algorithm.

## **WILLOW Project-Team**

# **4. Application Domains**

## **4.1. Introduction**

We believe that foundational modeling work should be grounded in applications. This includes (but is not restricted to) the following high-impact domains.

## **4.2. Quantitative image analysis in science and humanities**

We plan to apply our 3D object and scene modeling and analysis technology to image-based modeling of human skeletons and artifacts in anthropology, and large-scale site indexing, modeling, and retrieval in archaeology and cultural heritage preservation. Most existing work in this domain concentrates on image-based rendering, that is, the synthesis of good-looking pictures of artifacts and digs. We plan to focus instead on quantitative applications. We are engaged in a project involving the archaeology laboratory at ENS and focusing on image-based artifact modeling and decorative pattern retrieval in Pompeii. Application of our 3D reconstruction technology is now being explored in the field of cultural heritage and archeology by the start-up Iconem, founded by Y. Ubelmann, a Willow collaborator.

## **4.3. Video Annotation, Interpretation, and Retrieval**

Both specific and category-level object and scene recognition can be used to annotate, augment, index, and retrieve video segments in the audiovisual domain. The Video Google system developed by Sivic and Zisserman (2005) for retrieving shots containing specific objects is an early success in that area. A sample application, suggested by discussions with Institut National de l'Audiovisuel (INA) staff, is to match set photographs with actual shots in film and video archives, despite the fact that detailed timetables and/or annotations are typically not available for either medium. Automatically annotating the shots is of course also relevant for archives that may record hundreds of thousands of hours of video. Some of these applications will be pursued in our MSR-Inria project.